08-01789-cgm Doc 21101-11 Filed 02/03/22 Entered 02/03/22 22:32:27 Ex. 7 Pg 1 of 10

EXHIBIT 7

Trade Date Security Name	IA Business Purported Price ²	Daily High ³	Daily Low ³	Above/Below	Magnitude
8/17/1979 RESERVE OIL & GAS CO PFD CONV \$1.75	\$37.500	\$39.125	\$37.375	In Range	In Range
9/24/1979 AETNA LIFE & CAS CO PFD CONV \$2	\$75.500	No Trades	No Trades	No Trades	No Trades
9/24/1979 COOPER INDS INC PFD SER B CONV \$2.50 9/25/1979 BELCO PET CORP SUB DEB CONV 4.750 10/01/1988	\$208.500 \$117.000	No Trades \$118.000	No Trades \$118.000	No Trades Below	No Trades \$1.000
9/25/1979 BELCO PET CORP SUB DEB CONV 4.750 10/01/1988	\$118.000	\$118.000	\$118.000	In Range	In Range
9/25/1979 TENNECO CORP SUB DEB 6.250 10/01/1992	\$130.000	\$131.000	\$131.000	Below	\$1.000
9/25/1979 WHITE CONS INDS INC SUB DEB CONV 5.500 10/01/1992	\$108.500	\$111.000	\$109.000	Below	\$0.500
9/26/1979 LITTON INDS INC PART PREF CONV	\$50.000	\$52.000	\$52.000	Below	\$2.000
9/27/1979 LITTON INDS INC PART PREF CONV 9/27/1979 LITTON INDS INC PART PREF CONV	\$49.750 \$50.000	No Trades No Trades	No Trades No Trades	No Trades No Trades	No Trades No Trades
9/27/1979 LITTON INDS INC PART PREF CONV	\$50.250	No Trades	No Trades	No Trades	No Trades
9/27/1979 LITTON INDS INC PART PREF CONV	\$50.500	No Trades	No Trades	No Trades	No Trades
10/1/1979 BENDIX CORP PFD SER A CONV \$3	\$88.250	No Trades	No Trades	No Trades	No Trades
10/1/1979 GENERAL HOST CORP SUB DEB CONV 11.000 6/15/1988	\$130.500	\$130.500	\$130.500	In Range	In Range
10/1/1979 GENERAL HOST CORP SUB DEB CONV 11.000 6/15/1988	\$131.000	\$130.500	\$130.500	Above	\$0.500
10/1/1979 WALTER JIM CORP 4TH PFD CONV \$1.60 10/1/1979 WALTER JIM CORP 4TH PFD CONV \$1.60	\$36.000 \$36.375	\$35.750 \$35.750	\$35.750 \$35.750	Above Above	\$0.250 \$0.625
10/1/1979 WALTER JIM CORP 4TH PFD CONV \$1.60	\$36.500	\$35.750	\$35.750	Above	\$0.023
10/2/1979 ETHYL CORP 2ND PFD SER A CONV \$2.40	\$66.500	\$65.750	\$65.750	Above	\$0.750
10/2/1979 LUCKY STORES INC SUB DEB CONV 6.750 7/15/2000	\$117.000	\$116.750	\$116.750	Above	\$0.250
10/2/1979 SANTA FE INDS INC SUB DEB CONV 6.250 8/01/1998	\$157.000	\$160.000	\$157.000	In Range	In Range
10/4/1979 REYNOLDS R J INC PFD CONV \$2.25	\$74.750	\$76.750	\$74.375	In Range	In Range
10/9/1979 FOREMOST MCKESSON INC PFD SER A CONV \$1.80 10/10/1979 AMERICAN TEL & TELEG CO PFD CONV \$4	\$40.750 \$55.250	No Trades \$56.500	No Trades \$56.000	No Trades Below	No Trades \$0.750
10/10/1979 INTERNATIONAL TEL & TELEG CORP PFD SER N CONV \$2.25	\$33.230	\$30.300	\$30.000	Below	\$0.730
10/10/1979 TRAVELER'S CORP PFD CONV \$2.00	\$38.750	\$39.500	\$39.500	Below	\$0.750
10/10/1979 WARNER COMMUNICATIONS INC PFD SER D CONV \$1.25	\$101.750	No Trades	No Trades	No Trades	No Trades
10/11/1979 AMERADA HESS CORP PFD CONV \$3.50	\$87.750	\$90.500	\$88.250	Below	\$0.500
10/11/1979 AMERADA HESS CORP PFD CONV \$3.50	\$89.000	\$90.500	\$88.250	In Range	In Range
10/16/1979 TENNECO CO INC PREF CONV 5.50 10/16/1979 TENNECO CO INC PREF CONV 5.50	\$130.000 \$130.125	\$130.500 \$130.500	\$129.250 \$129.250	In Range In Range	In Range In Range
10/16/1979 TENNECO CO INC PREF CONV 5.50	\$130.250	\$130.500	\$129.250	In Range	In Range
10/16/1979 TENNECO CO INC PREF CONV 5.50	\$130.500	\$130.500	\$129.250	In Range	In Range
10/17/1979 ATLANTIC RICHFIELD CO PFD CONV \$2.80	\$87.750	\$88.750	\$88.000	Below	\$0.250
10/19/1979 BUNKER RAMO CORP PFD CONV 1.50	\$24.000	\$24.000	\$23.500	In Range	In Range
10/19/1979 CHARTER CO WT EXP 09/01/1988	\$31.000	\$32.125	\$30.625	In Range	In Range
10/19/1979 HOUSEHOLD FIN CORP PFD CONV \$2.375 10/19/1979 HOUSEHOLD FIN CORP PFD CONV \$2.375	\$40.500 \$40.750	\$40.875 \$40.875	\$40.875 \$40.875	Below Below	\$0.375 \$0.125
10/19/1979 RELIANCE GROUP INC PFD SER B CONV \$2.20	\$69.500	\$73.000	\$73.000	Below	\$3.500
10/19/1979 RIO GRANDE INDS INC PFD SER A CONV \$0.80	\$15.000	\$15.375	\$15.000	In Range	In Range
10/19/1979 TEXTRON INC PFD CONV \$2.08	\$26.500	\$26.875	\$26.250	In Range	In Range
10/19/1979 UAL INC PFD SER A CONV \$0.40	\$20.625	\$21.000	\$21.000	Below	\$0.375
10/19/1979 UAL INC PFD SER A CONV \$0.40	\$21.000	\$21.000	\$21.000	In Range	In Range
10/22/1979 AMERICAN BRANDS INC PFD CONV \$1.70 10/22/1979 INA CORP PFD SER C CONV \$1.90	\$28.750 \$23.250	\$28.625 \$23.500	\$28.625 \$23.500	Above Below	\$0.125 \$0.250
10/22/1979 TIME INC PFD SER B CONV \$1.505	\$29.875	\$30.500	\$30.000	Below	\$0.230
10/22/1979 TIME INC PFD SER B CONV \$1.5757	\$30.125	\$30.500	\$30.000	In Range	In Range
10/22/1979 TRW INC PREF SER 1 CONV \$4.40	\$78.750	\$79.750	\$78.000	In Range	In Range
10/23/1979 CHAMPION INTL CORP PREF CONV \$1.20	\$22.875	\$22.750	\$22.500	Above	\$0.125
10/24/1979 CITY INVESTING CO PREF SER B CONV \$2.00	\$25.125	\$25.000	\$24.500	Above	\$0.125
10/24/1979 SUN INC PFD CONV \$2.25 10/24/1979 UNITED STATES GYPSUM CO PFD CONV \$1.80	\$58.750 \$27.000	\$59.250 \$26.750	\$58.000 \$26.250	In Range Above	In Range \$0.250
10/24/1979 UNITED STATES GYPSUM CO PFD CONV \$1.80	\$27.125	\$26.750	\$26.250	Above	\$0.230
10/24/1979 UNITED STATES GYPSUM CO PFD CONV \$1.80	\$27.250	\$26.750	\$26.250	Above	\$0.500
10/25/1979 TEXAS GAS TRANSMISSION CORP PREF CONV \$1.50	\$46.000	No Trades	No Trades	No Trades	No Trades
10/26/1979 BENEFICIAL CORP PFD CONV \$5.50	\$113.500	No Trades	No Trades	No Trades	No Trades
10/26/1979 GULF & WESTIN INDS INC PFD SER C CONV \$3.875	\$69.000	\$71.000	\$71.000	Below	\$2.000
10/30/1979 ATLANTIC RICHFIELD CO PREF CONV \$3	\$240.625 \$44.625	\$242.250 \$45.500	\$242.250	Below Below	\$1.625 \$0.125
10/30/1979 RESERVE OIL & GAS CO PFD CONV \$1.75 10/30/1979 REYNOLDS METALS CO 2ND PFD CONV 4.50%	\$44.625 \$63.625	\$45.500 \$65.000	\$44.750 \$65.000	Below	\$0.125 \$1.375
10/30/1979 REYNOLDS METALS CO 2ND PFD CONV 4.50%	\$64.500	\$65.000	\$65.000	Below	\$0.500
10/30/1979 WOOLWORTH F W CO PFD SER A CONV \$2.20	\$37.125	\$37.000	\$36.000	Above	\$0.125
11/6/1979 COASTAL STS GAS CORP PFD SER B CONV \$1.83	\$25.375	\$25.000	\$25.000	Above	\$0.375
11/6/1979 COASTAL STS GAS CORP PFD SER B CONV \$1.83	\$25.500	\$25.000	\$25.000	Above	\$0.500
11/7/1979 AVNET INC PFD SER C CONV 2.50		No Trades	No Trades	No Trades	No Trades
	\$107.000			NI. T. I	
11/7/1979 AVNET INC PFD SER C CONV 2.50	\$107.250	No Trades	No Trades	No Trades	No Trades
11/7/1979 AVNET INC PFD SER C CONV 2.50 11/7/1979 AVNET INC PFD SER C CONV 2.50	\$107.250 \$107.500	No Trades No Trades	No Trades No Trades	No Trades	No Trades No Trades
11/7/1979 AVNET INC PFD SER C CONV 2.50	\$107.250 \$107.500 \$108.000	No Trades	No Trades		No Trades

Trade Date Security Nan	e	IA Business Purported Price ²	Daily High ³	Daily Low ³	Above/Below	Magnitude
11/7/1979 TRW INC PREF SER 3 CONV \$4.50		\$64.500	No Trades	No Trades	No Trades	No Trades
11/8/1979 FLUOR CORP PFD SER B CONV \$3		\$164.500	No Trades	No Trades	No Trades	No Trades
11/8/1979 FLUOR CORP PFD SER B CONV \$3		\$165.000	No Trades	No Trades	No Trades	No Trades
11/9/1979 AVCO CORP PFD CONV \$4.20 11/9/1979 COLT INDS INC PFD SER A CONV \$1.60		\$63.000 \$34.750	\$65.750 No Trades	\$62.500 No Trades	In Range No Trades	In Range No Trades
11/9/1979 COLT INDS INC 11D SER A CONV \$1.00 11/9/1979 COLT INDS INC PFD SER A CONV \$1.60		\$35.000	No Trades	No Trades	No Trades	No Trades
11/9/1979 CONTINENTAL CORP PFD SER A CONV \$2.5)	\$54.500	\$53.250	\$53.250	Above	\$1.250
11/9/1979 DART IND INC PFD SER A CONV \$2		\$40.750	\$41.375	\$41.375	Below	\$0.625
11/9/1979 DART IND INC PFD SER A CONV \$2		\$41.000	\$41.375	\$41.375	Below	\$0.375
11/13/1979 AMERICAN GEN INS CO PFD CONV \$0.90	77.4.275.11/01/1001	\$34.625	\$34.625	\$34.625	In Range	In Range
11/14/1979 BAXTER TRAVENOL LAB INC SUB DEB CON 11/14/1979 BAXTER TRAVENOL LAB INC SUB DEB CON		\$116.000 \$117.000	No Trades No Trades	No Trades No Trades	No Trades No Trades	No Trades No Trades
11/14/1979 GOULD INC PFD CONV \$1.35	V 4.3/3 11/01/1991	\$21.375	No Trades	No Trades	No Trades	No Trades
11/14/1979 STORER BROADCASTING CO SUB DEB CON	V 4.500 1/01/1986	\$119.000	\$120.000	\$120.000	Below	\$1.000
11/14/1979 STORER BROADCASTING CO SUB DEB CON	V 4.500 1/01/1986	\$120.000	\$120.000	\$120.000	In Range	In Range
11/14/1979 STORER BROADCASTING CO SUB DEB CON	V 4.500 1/01/1986	\$121.000	\$120.000	\$120.000	Above	\$1.000
11/16/1979 CONOCO INC PFD CONV \$2		\$123.000	No Trades	No Trades	No Trades	No Trades
11/19/1979 DART IND INC PFD SER A CONV \$2	02	\$41.000	\$43.750	\$43.750	Below	\$2.750
11/19/1979 LINCOLN NATL CORP IND PFD SER A CONV 11/19/1979 PETROLEUM & RESOURCES PFD PFD CONV		\$81.250 \$26.125	\$83.000 \$26.625	\$82.000 \$26.125	Below In Pance	\$0.750
11/21/1979 BELCO PET CORP SUB DEB CONV 4.750 10/0		\$119.000	\$20.023	\$123.000	In Range Below	In Range \$4.000
11/21/1979 CITY INVESTING CO SUB DEB CONV 7.500 1		\$107.500	\$123.000	\$108.000	Below	\$0.500
11/21/1979 PENZOIL CO SUB DEB CONV 5.250 3/01/1996		\$131.000	\$137.000	\$133.000	Below	\$2.000
11/21/1979 WILLIAMS COS PFD SER A CONV \$0.80		\$45.250	No Trades	No Trades	No Trades	No Trades
11/23/1979 HILTON HOTELS CORP SUB DEB CONV 5.50		\$191.000	No Trades	No Trades	No Trades	No Trades
11/23/1979 INTERNATIONAL TEL & TELEG CORP PFD S	ER H CONV \$4	\$45.750	No Trades	No Trades	No Trades	No Trades
11/23/1979 MONSANTO CO PFD CONV \$2.75 11/11/1980 LINCOLN NATL CORP IND PFD SER A CONV	\$2	\$64.750 \$78.875	No Trades No Trades	No Trades No Trades	No Trades No Trades	No Trades No Trades
1/5/1981 FEDERAL PAPER BRD INC PFD CONV 1.20	\$3	\$39.875	No Trades	No Trades	No Trades	No Trades
1/22/1981 JOHNSON CTLS INC PFD SER B CONV \$2		\$39.875	No Trades	No Trades	No Trades	No Trades
2/2/1981 ALLEGHANY LUDLUM INDS INC PFD CONV	\$3	\$56.625	\$57.500	\$57.000	Below	\$0.375
2/17/1981 LEAR SIEGLER INC PFD CONV \$2.25		\$87.375	No Trades	No Trades	No Trades	No Trades
2/18/1981 DILLINGHAM CORP PFD CONV \$2		\$33.125	\$34.000	\$33.750	Below	\$0.625
2/20/1981 AETNA LIFE & CAS CO PFD CONV \$2		\$67.875	No Trades	No Trades	No Trades	No Trades
2/25/1981 BRISTOL MYERS CO PFD CONV \$2 2/25/1981 BRISTOL MYERS CO PFD CONV \$2		\$55.875 \$56.000	\$56.250 \$56.250	\$55.750 \$55.750	In Range In Range	In Range In Range
2/25/1981 GATX CORP PFD CONV \$2.50		\$46.125	No Trades	No Trades	No Trades	No Trades
2/25/1981 HEINZ H J CO 3RD PFD CONV \$1.70		\$36.750	No Trades	No Trades	No Trades	No Trades
2/25/1981 HEINZ H J CO 3RD PFD CONV \$1.70		\$36.875	No Trades	No Trades	No Trades	No Trades
2/25/1981 INSTILCO CORP 2ND PFD SER A \$1.25		\$25.375	No Trades	No Trades	No Trades	No Trades
2/26/1981 ARMCO INC PFD CONV \$2.10		\$44.500	\$44.750	\$43.000	In Range	In Range
2/26/1981 LTV CORPORATION PART PREF CONV SER		\$20.000	\$21.500	\$20.000	In Range	In Range
2/26/1981 LTV CORPORATION PART PREF CONV SER 2/26/1981 LTV CORPORATION PART PREF CONV SER		\$20.250 \$20.500	\$21.500 \$21.500	\$20.000 \$20.000	In Range In Range	In Range In Range
2/26/1981 PETROLEUM & RESOURCES PFD PFD CONV		\$35.500	No Trades	No Trades	No Trades	No Trades
2/26/1981 PETROLEUM & RESOURCES PFD PFD CONV	•	\$35.750	No Trades	No Trades	No Trades	No Trades
2/26/1981 TRW INC PREF SER 3 CONV \$4.50		\$103.500	No Trades	No Trades	No Trades	No Trades
2/27/1981 TRW INC PREF SER 1 CONV \$4.40		\$124.000	\$128.250	\$125.500	Below	\$1.500
2/27/1981 TRW INC PREF SER 1 CONV \$4.40		\$125.500	\$128.250	\$125.500	In Range	In Range
2/27/1981 WASHINGTON NATL CORP PFD CONV \$2.50		\$42.750	\$44.000	\$44.000	Below	\$1.250
3/2/1981 AMERICAN BRANDS INC PFD CONV \$2.67 3/2/1981 AMERICAN BRANDS INC PFD CONV \$2.67		\$36.125	\$35.250	\$35.250	Above Above	\$0.875
3/2/1981 AMERICAN BRANDS INC PFD CONV \$2.67		\$36.250 \$36.375	\$35.250 \$35.250	\$35.250 \$35.250	Above	\$1.000 \$1.125
3/2/1981 AMERICAN BRANDS INC PFD CONV \$2.67		\$37.000	\$35.250	\$35.250	Above	\$1.750
3/2/1981 GENERAL INSTR CORP PFD SER A CONV \$3		\$64.125	\$65.000	\$65.000	Below	\$0.875
3/2/1981 GENERAL INSTR CORP PFD SER A CONV \$3		\$65.000	\$65.000	\$65.000	In Range	In Range
3/3/1981 BRUNSWICK CORP PFD SER A CONV \$2.40		\$26.125	\$26.375	\$26.125	In Range	In Range
3/3/1981 HOLIDAY INNS INC SER A CONV \$1.70	0.0	\$35.625	\$37.000	\$36.750	Below	\$1.125
3/3/1981 LINCOLN NATL CORP IND PFD SER A CONV	\$3	\$76.000	No Trades	No Trades	No Trades	No Trades
3/3/1981 TOWNER PETE CO WTS 01/23/1986 3/5/1981 CITY INVESTING CO PREF SER B CONV \$2.0)	\$10.250 \$38.500	\$10.750 \$39.000	\$10.250 \$38.500	In Range In Range	In Range In Range
3/5/1981 CITY INVESTING COPREF SER B CONV \$2.0		\$39.500	\$39.000	\$38.500	Above	\$0.500
3/9/1981 GRACE W R & CO SUB DEB CONV 6.500 11/1		\$164.000	\$166.000	\$166.000	Below	\$2.000
3/9/1981 INTERNATIONAL TEL & TELEG CORP PFD S		\$53.625	\$53.125	\$53.125	Above	\$0.500
3/9/1981 JEWEL COS PFD SER A CV \$2.31		\$27.750	\$26.750	\$26.750	Above	\$1.000
3/10/1981 COLT INDS INC PFD SER D CONV \$4.25		\$110.250	No Trades	No Trades	No Trades	No Trades
		0111 000	No Trades	No Trades	No Trades	No Trades
3/10/1981 COLT INDS INC PFD SER D CONV \$4.25		\$111.000				
3/10/1981 COLT INDS INC PFD SER D CONV \$4.25 3/11/1981 ASHLAND OIL INC SUB DEB CONV 4.750 8/1 3/11/1981 ATLANTIC RICHFIELD CO PFD CONV \$3	5/1993	\$111.000 \$113.000 \$364.000	\$109.500 \$368.750	\$109.500 \$363.000	Above In Range	\$3.500 In Range

341198 CROWN ZELLERRACH CORP PTD SER A CONY \$4.625 \$33.706 \$53.200 In Range \$31.198 DATINCAM CORP PTD SER CONY \$9.4011999 \$16.7000 \$15.520 In Range \$31.198 PATRICK PTE CO WTS EXTO \$40.01981 \$10.027 \$11.000 \$10.250 In Range \$11.198 PATRICK PTE CO WTS EXTO \$40.01981 \$11.000 \$10.120 In Range \$11.198 PATRICK PTE CO WTS EXTO \$40.01981 \$11.100 \$10.120 In Range \$11.100 \$10.120 In Range \$11.198 PATRICK PTE CO WTS EXTO \$40.01981 \$11.100 \$10.120 In Range \$11.100 \$10.120 In Range \$13.198 PATRICK PTE CO WTS EXTO \$40.01981 \$11.100 \$10.120 In Range \$13.198 PATRICK PTE CO WTS EXTO \$40.01981 \$13.198 PATRICK PTE CO WTS EXTO \$40.01981 PATRICK PTE PATRICK	rade Date	Security Name	IA Business Purported Price ²	Daily High ³	Daily Low ³	Above/Below	Magnitude
STITUTES PATRICK PETE CO WTS EXP 0409/1981 \$11.000 \$11.000 \$10.250 \$10.800 \$10.1981 \$11.100 \$11.000 \$10.250 \$10.11981 \$11.100 \$11.000 \$10.250 \$10.250 \$10.11981 \$11.125 \$11.000 \$10.250 \$10.250 \$10.11981 \$10.1125 \$11.000 \$10.250 \$10.250 \$10.11981 \$10.1125 \$11.000 \$10.25	3/11/1981	CROWN ZELLERBACH CORP PFD SER A CONV \$4.625		\$54.000	\$53.500	In Range	In Range
1911 93 PATRICK PETE COW TS EXP 0469/1981 \$11.000							In Range
MILTER PATRICK PETE COWTS EXP 040091981 SILL22 SIL000 SID.250 A forest positions of the pattern of							In Range
311998 ROCKWELL INTL CORP PED SER A CONY \$4.75 \$1270.00 \$4.62.20 \$6.50.00 \$6							In Range \$0.125
19.1319/18 COOPER INDS INC PED CON \$2.90 \$46.290 \$46.290 \$46.290 \$40.000 \$10.6000 \$10.6000 \$10.6000 \$10.6000 \$40.0000 \$10.9000 \$10.6000 \$10.6000 \$40.0000 \$10.9000 \$10.9000 \$10.6000 \$40.0000 \$10.9000 \$10.6000 \$40.0000 \$10.9000 \$10.9000 \$10.9000 \$40.0000 \$10.9000 \$10.9000 \$40.0000 \$10.9000 \$10.9000 \$40.0000 \$10.9000 \$40.0000 \$10.9000 \$10.9000 \$40.0000 \$10.9000 \$40.0000 \$10.9000 \$40.0000 \$10.9000 \$40.0000 \$10.9000 \$40.0000 \$40.0000 \$10.9000 \$40.00000 \$40.0000 \$40.0000 \$40.0000 \$40.0000							No Trades
146198 RELIANCE GROUP INC PED SER B CONY \$2.02 \$107.000 \$106.000 Above \$146198 RELIANCE GROUP INC PED SER B CONY \$2.02 \$107.000 \$106.000 \$106.000 Above \$146198 RELIANCE GROUP INC PED SER B CONY \$2.00 \$108.000 \$106.000 \$106.000 Above \$146198 RELIANCE GROUP INC PED SER B CONY \$2.00 \$108.000 \$106.000 \$106.000 Above \$136198 RELIANCE GROUP INC PED SER B CONY \$2.00 \$137.000 \$152.00 \$55.000 \$55.000 \$16.000 Above \$137198 CITAMPION INTL CORP PEDE CONY \$4.60 \$317.000 \$34.250 \$51.000 \$16.000 Above \$137198 CITAMPION INTL CORP PEDE CONY \$1.00 \$26.000 \$317.000 \$0.000 \$10.0							In Range
3161998 RELIANCE GROUP IN CPFD SER B CONY \$2.20 \$107.500 \$106.000 \$106.000 \$Above \$107.500	3/16/1981	INA CORP PFD SER C CONV \$1.90	\$31.500	No Trades	No Trades	No Trades	No Trades
346998 RELIANCE GROUP IN CPTO SER B CONY \$2.02 \$108,000 \$106,000 \$1,000		· · · · · · · · · · · · · · · · · · ·					\$0.750
31/19/19/18 CHAMPION NITL CORP PREF CONV \$2.20 \$31,000 \$316,000 \$300,000 \$300,700 Brange \$317/19/18 GEORGIA PAC CORPORATION PED SER A CONV \$3.400 \$34,250 \$34,250 \$34,000 \$31,700 \$3							\$1.000
3171/981 CHAMPION INTI, CORP PREF CONV \$4.00 \$34.00 \$34.20 \$18.00 \$18.20 \$17.9881 (CHAMPION INTI, CORP PREF CONV \$1.20 \$25.00 \$17.500 \$1.00							\$1.500 \$2.000
31/19/18 CHAMPION INTL CORP PREF CONY \$1.20 \$26.875 No Trades No Trades No Trades \$13/18/1981 CHAMPION INTL CORP PREF CONY \$1.20 \$27.00 No Trades No Trades \$13/18/1981 NEWMONT MING CORP PRD SER A CONY \$4.50 \$172.00 No Trades No Trades \$13/18/1981 NEWMONT MING CORP PRD SER A CONY \$4.50 \$172.00 No Trades No Trades \$13/18/1981 NEWMONT MING CORP PRD SER A CONY \$4.50 \$13/18/1981 NEWMONT MING CORP PRD SER A CONY \$4.50 \$117.00 No Trades No Trades \$13/18/1981 NEWMONT MING CORP PRD SER A CONY \$4.50 \$117.00 S111.750 \$11.750 \$111.750							In Range
MINISTRA CHAMPION NTL CORP PREF CONV \$1.20 S22,00 No Trades No Trades No Trades Ministra Minis							In Range
3181891 NEWMONT MNG CORP PED SER A CONY \$4.50 \$17.200 No Trades No Trades 3181891 NEWMONT MNG CORP PED SER A CONY \$4.50 \$17.000 \$111.500 \$111.000 \$10.000 \$1.000							No Trades
3181981 NEWMONT MNG CORP PED SER A CONY \$4.50 \$137,000 \$173,000 \$11.000 \$11.000 \$11.000 \$11.000 \$11.000 \$11.000 \$11.000 \$11.000 \$11.000 \$11.000 \$11.000 \$11.000 \$13.93.75 \$39.125 \$3	3/18/1981	CHAMPION INTL CORP PREF CONV \$1.20	\$27.000	No Trades	No Trades	No Trades	No Trades
3419181 INTERCO INC PED SER D CONV \$7.75 \$111.000 In Range 20201981 FEDERAL PAPER RBD INC PED CONV \$1.20 \$39.375 \$39.125 \$39.125 \$Above 20201981 FEDERAL PAPER RBD INC PED CONV \$1.20 \$39.500 \$39.125 \$39.125 \$Above 20201981 FEDERAL PAPER RBD INC PED CONV \$1.20 \$39.500 \$39.125 \$39.125 \$Above 20201981 FEDERAL PAPER RBD INC PED CONV \$2.40 \$27.625 \$27.875 \$39.125 \$Above 20201981 FEDERAL PAPER RBD INC PED CONV \$2.40 \$27.625 \$27.825 \$27.625 \$10.820 \$32.441881 BRUNSWICK CORP PFD SER A CONV \$2.40 \$27.625 \$27.825 \$27.625 \$27.825 \$27.625 \$27.825 \$27.625 \$27.825 \$27.625 \$27.825 \$27.625 \$27.825 \$27.625 \$27.825 \$27.625 \$27.825 \$27.625 \$27.825 \$27.625 \$27.825 \$27.625 \$27.825 \$27.625 \$27.625 \$27.825 \$27.625 \$27.625 \$27.825 \$27.625 \$27.825 \$27.625 \$27.625 \$27.825 \$27.625 \$27.625 \$27.825 \$27.625 \$27.625 \$27.625 \$27.825 \$27.625 \$27.625 \$27.825 \$27.625 \$27.625 \$27.825 \$27.625 \$27.625 \$27.825 \$27.625 \$27.825 \$27.625 \$27.825 \$27.625 \$27.625 \$27.825 \$27.625 \$27.825 \$27.625 \$27.825 \$27.625 \$2							No Trades
32021981 FEDERAL PAPER BRD INC PFD CONV 1.20		·					No Trades
320198 FEDERAL PAPER BRD INC PTD CONV 120 \$93,500 \$96,125 \$80,125 Below \$123498 BRUNSWICK CORP PTD SER A CONV \$2.40 \$27,625 \$27,875 \$27,625 In Range \$234981 BRUNSWICK CORP PTD SER A CONV \$2.40 \$27,625 \$27,875 \$25,600 \$25,600 Below \$234981 MESTERN LINION CORP 2ND PTD CONV 4.09% \$55,570 \$55,600 \$56,000 Below \$2344981 MESTERN LINION CORP 2ND PTD CONV 4.09% \$55,570 \$55,600 \$55,600 Below \$244981 MIEELABRATOR FRYE INC PTD SER B CONV \$2 \$53,000 \$53,500 \$53,500 Below \$244981 MIEELABRATOR FRYE INC PTD SER B CONV \$2 \$33,000 \$35,500 \$53,500 Below \$2244981 MIEELABRATOR FRYE INC PTD SER B CONV \$2 \$33,500 \$35,500 Below \$2244981 MIEELABRATOR FRYE INC PTD SER B CONV \$2 \$35,500 \$35,500 Below \$2244981 MIEELABRATOR FRYE INC PTD SER B CONV \$2 \$35,500 \$35,500 Below \$2244981 MIEELABRATOR FRYE INC PTD SER B CONV \$2 \$35,500 \$35,500 Below \$244981 MIEELABRATOR FRYE INC PTD SER B CONV \$2 \$35,500 \$35,500 Below \$244981 MIEELABRATOR FRYE INC PTD SER B CONV \$2 \$35,500 \$35,500 Below \$32591 MINONANTO CO PTD CONV \$3.50 \$118,500 Mortades Mo							In Range
323/1981 OCCIDENTAL PETE CORP PED CONV \$4.00 527.625 527.625 527.625 62.000 62.00							\$0.250 \$0.375
3241981 BRUNSWICK CORP PFD SER A CONV \$2.40 \$27.625 \$52.7655 \$56.600 \$56.000 \$32.41981 WESTERN UNION CORP 2ND PFD CONV 4.90% \$55.605 \$56.600 \$56.000 \$60.000 \$32.41981 WESTERN UNION CORP 2ND PFD CONV 4.90% \$55.505 \$56.000 \$56.000 \$56.000 \$60.000 \$32.41981 WIRELABRATOR RPVE INCP DESER B CONV \$2 \$53.500 \$53.500 \$55.500 \$50.000 \$60.000 \$32.41981 WIRELABRATOR RPVE INCP DESER B CONV \$2 \$53.500 \$53.500 \$53.500 \$53.500 \$52.51981 MONSANTO CO PFD CONV \$2.75 \$32.51981 MONSANTO CO PFD CONV \$2.75 \$32.700 \$0.518.000 \$50.000 \$0.508.000 \$112.41981 WIRELABRATOR RPVE INC PFD SER B CONV \$2 \$32.500 \$53.500 \$53.500 \$53.500 \$112.41981 MONSANTO CO PFD CONV \$3.50 \$118.700 \$0.508.000 \$0.509.000 \$0.509.			****				\$1.625
324198 WESTERN UNION CORP 2ND PTO CONV 4,90% \$55,60\$ \$56,000 \$60,000 Below \$324198 WESTERN UNION CORP 2ND PTO CONV 4,90% \$55,500 \$55,500 \$55,500 \$56,000 \$60,000 \$324198 WHEELABRATOR REYE INC PTO SER B CONV \$2 \$53,000 \$53,500 \$53,500 \$53,500 \$53,500 \$53,500 \$53,500 \$53,24198 WHEELABRATOR REYE INC PTO SER B CONV \$2 \$53,500 \$53,500 \$53,500 \$53,500 \$53,500 \$53,24198 WHEELABRATOR REYE INC PTO SER B CONV \$2 \$53,500 \$53,500 \$53,500 \$53,500 \$53,24198 WHEELABRATOR REYE INC PTO SER B CONV \$2 \$52,000 \$53,500 \$53,500 \$53,500 \$53,500 \$53,24198 WHEELABRATOR REYE INC PTO SER B CONV \$2 \$52,000 \$53,50							In Range
3241/98 WHEELABRATOR FRYE INC PED SER B CONV \$2 \$53,000 \$53,500 \$53,500 \$53,500 \$35,401 \$3241/98 WHEELABRATOR FRYE INC PED SER B CONV \$2 \$53,500 \$			\$55.625	\$56.000	\$56.000		\$0.375
3241/98 WHEELABRATOR FRYE INC PFD SER B CONV \$2 \$33,200 \$53,500 \$53,500 \$53,500 \$35,409 \$32,4198 WHEELABRATOR FRYE INC PFD SER B CONV \$2 \$35,2500 \$53,500 \$53,500 \$53,500 \$53,500 \$53,500 \$53,500 \$53,500 \$53,600 \$53,500	3/24/1981	WESTERN UNION CORP 2ND PFD CONV 4.90%		\$56.000	\$56.000	Below	\$0.250
324/198 WHEELARATOR FRYE INC PED SER B CONV \$2 325/198 INONSANTOC OF DECONV \$2.75 \$827.75 \$827.75 \$827.75 \$827.75 \$818.750 \$819.750 \$8							\$0.500
3251981 MONSANTO CO PFD CONV \$2.75 S82.750 No Trades No Trades No Trades No Trades I1/23/1981 LTV CORPORATION PART PREF CONV \$ET S17.625 No Trades No Trades No Trades No Trades I1/24/1981 AMERADA HESS CORP PFD CONV \$3.50 S119.500 No Trades No Trades No Trades I1/24/1981 MERADA HESS CORP PFD CONV \$3.50 S19.500 No Trades No Trades No Trades I1/24/1981 MEIRADA HESS CORP PFD CONV \$1.70 S39.625 No Trades No Trades No Trades I1/24/1981 MEIRADA HESS CORP PFD CONV \$1.70 S39.625 No Trades No Trades I1/24/1981 MEIRADA HESS CORP PFD CONV \$2.75 S74.000 No Trades No Trades No Trades I1/24/1981 MEIRADA HESS CORP PFD CONV \$2.75 S74.000 No Trades No Trades I1/24/1981 MALTER JIM CORP 4TH PFD CONV \$1.60 S20.75 No Trades No Trades I1/24/1981 MALTER JIM CORP 4TH PFD CONV \$2.08 S38.375 S38.000 Above I1/30/1981 FMC CORP PFD CONV \$2.08 S38.375 S38.000 Manage I1/30/1981 FMC CORP PFD CONV \$2.08 S39.750 S40.000 S39.750 S40.000 S40.0		· · · · · · · · · · · · · · · · · · ·					\$0.250
11/23/1981 LTV_CORPORATION PART PREE CONV SER I S17.625							In Range No Trades
1124/1981 AMERADA HESS CORP PFD CONV \$3.50 \$118.750 No Trades No Trades No Trades 1124/1981 AMERADA HESS CORP PFD CONV \$3.50 \$19.500 No Trades No Trades No Trades 1124/1981 MENZ HI CO 3RD PFD CONV \$1.70 \$39.625 No Trades							No Trades No Trades
11/24/1981 AMERADA HESS CORP PFD CONV \$1.70 \$39.625 No Trades No Trades No Trades 11/24/1981 HEINZ H J CO 3RD PFD CONV \$1.70 \$39.625 No Trades No Trades No Trades 11/24/1981 MONSANTO CO PFD CONV \$2.75 \$74.000 No Trades No Tr							No Trades
11/24/1981 MONSANTO CO PFD CONV \$2.75 S74,000 No Trades No Trades 11/27/1981 WALTER JIM CORP 4TH PFD CONV \$1.60 \$20.750 No Trades No Trades No Trades 11/30/1981 FMC CORP PFD CONV \$2.25 \$33.000 \$32.500 \$32.000 Above 11/30/1981 FMC CORP PFD CONV \$2.08 \$28.375 \$28.375 \$28.800 In Range 12/3/1981 ROLIDAY INIS INC \$30.005 S0.000 S0.							No Trades
11/21/1981 WALTER JIM CORP 4TH PFD CONV \$1.60 \$20,750 No Trades No Trades 11/30/1981 TEXTRON INC PFD CONV \$2.25 \$33.000 \$32.000 Above 11/30/1981 TEXTRON INC PFD CONV \$2.08 \$28.375 \$28.375 \$28.305 Brange 12/3/1981 HOLIDAY INNS INC SUB DEB CONV \$625 04/01/2005 \$141.000 \$137.750 \$137.750 Above 12/3/1981 ROLIDAY INNS INC SUB DEB CONV \$625 04/01/2005 \$141.000 \$137.750 \$137.750 Above 12/3/1981 ROLIDAY INNS INC SUB DEB CONV \$4 \$39.750 \$40.750 \$33.750 In Range 12/3/1981 ROLIDAY INNS INC SUB DEB CONV \$4 \$35.000 No Trades No Trades 12/11/1981 ARMCO INC PFD CONV \$2.10 \$35.625 \$35.125 \$35.125 Above 12/11/1981 ARMCO INC PFD CONV \$2.10 \$35.625 \$35.125 \$35.125 Above 12/14/1981 WOOLWORTH F W CO PFD SER A CONV \$2.20 \$26.125 No Trades No Trades 12/11/1981 FOREMOST MCKESSON INC PFD SER A CONV \$1.80 \$61.750 \$62.200 \$62.250 \$62.250 Below 12/15/1981 FOREMOST MCKESSON INC PFD SER A CONV \$1.80 \$61.750 \$62.200 \$62.250 \$62.250 Below 12/15/1981 FOREMOST MCKESSON INC PFD SER A CONV \$1.80 \$60.000 \$	1/24/1981	HEINZ H J CO 3RD PFD CONV \$1.70	\$39.625	No Trades	No Trades	No Trades	No Trades
11/30/1981 FMC CORP PFD CONV \$2.25 S33.000 S32.000 Above 11/30/1981 TEXTRON INC PFD CONV \$2.08 \$28.375 \$28.305 \$28.000 In Range 12/31/1981 HOLIDAY INNS INC SUB DEB CONV 9.625 04/01/2005 \$141.000 \$131.750 \$137.750 Above 12/71/1981 RCA CORP IST PFD CONV \$4 \$39.750 \$40.750 \$39.750 In Range 12/81/1981 INTERNATIONAL TEL & TELEG CORP PFD SER H CONV \$4 \$55.000 No trades 12/11/1981 ARMCO INC PFD CONV \$2.10 \$35.625 \$35.125 \$35.125 Above 12/11/1981 ARMCO INC PFD CONV \$2.10 \$35.625 \$35.625 \$35.125 \$35.125 Above 12/14/1981 UNITED TECHONLOGIES CORP PFD CONV \$3.875 \$52.000 \$53.000 \$52.500 Below 12/14/1981 WOOLWORTH F W CO PFD SER A CONV \$2.20 \$62.125 No trades 12/14/1981 FOREMOST MCKESSON INC PFD SER A CONV \$1.80 \$61.750 \$62.250 \$62.250 Below 12/15/1981 FOREMOST MCKESSON INC PFD SER A CONV \$1.80 \$62.000 \$62.250 \$62.250 Below 12/15/1981 WESTERN UNION CORP PFD CONV \$2.20 \$38.500 \$39.125 \$38.500 In Range 12/16/1981 WESTERN UNION CORP PFD CONV \$2.20 \$69.000 \$66.625 Above 12/17/1981 AUGAT INC SUB DEB CONV \$2.509 1/5/2006 \$99.000 \$100.000 \$100.000 Below 12/18/1981 CELANISES CORP SUB DEB CONV \$2.509 1/5/2006 \$99.625 \$99.875 \$99.000 In Range 12/18/1981 CELANISES CORP SUB DEB CONV \$2.506 \$90.625 \$91.000 \$91.000 Below 12/23/1981 BRISTOL MYERS CO PFD CONV \$2.06 \$90.625 \$91.000 \$91.000 Below 12/23/1981 BRISTOL MYERS CO PFD CONV \$2.06 \$90.625 \$91.000 \$91.000 Below 12/23/1981 BRISTOL MYERS CO PFD CONV \$2.06 \$90.625 \$91.000 \$91.000 Below 12/23/1981 BRISTOL MYERS CO PFD CONV \$2.00 \$86.600 \$36.500 \$36.							No Trades
1730/1981 TEXTRON INC PFD CONV \$2.08 \$28.375 \$28.375 \$28.000 In Range 123/1981 HOLIDAY INNS INC SUB DEB CONV 9.625 04/01/2005 \$11.000 \$137.750 \$30.000 \$127/1981 RCA CORP IST PFD CONV \$4 \$53.000 \$64.750 \$33.750 \$40.750 \$33.750 \$40.750 \$33.750 \$40.750 \$33.750 \$40.750 \$33.750 \$40.750 \$33.750 \$40.750 \$33.750 \$40.750 \$33.750 \$40.750 \$33.750 \$40.750 \$33.750 \$40.750 \$33.750 \$40.750 \$33.750 \$40.750							No Trades
12/3/1981 HOLIDAY INNS INC SUB DEB CONV 9.625 04/01/2005 S31,750 S31,750 S39,750 In Range I2/7/1981 RCA CORP IST PFD CONV \$4 S35,000 No Trades No Trades No Trades No Trades I2/11/1981 INTERNATIONAL TEL & TELEG CORP PFD SER H CONV \$4 S55,000 No Trades No Trades No Trades I2/11/1981 LARMCO INC PFD CONV \$2.10 S35,625 S35,125 S35,125 Above I2/14/1981 UNITED TECHONLOGIES CORP PFD CONV \$3.875 S20,000 S53,000 S52,500 Below I2/14/1981 WOOLWORTH F W CO PFD SER A CONV \$2.20 S20,125 No Trades No Trades No Trades I2/15/1981 FOREMOST MCKESSON INC PFD SER A CONV \$1.80 S61,750 S62,250 S62,250 Below I2/15/1981 FOREMOST MCKESSON INC PFD SER A CONV \$1.80 S61,750 S62,000 S62,250 S62,250 Below I2/15/1981 MC DERMOTT INC PFD SER A CONV \$2.20 S38,500 S39,125 S38,500 In Range I2/16/1981 WESTERN UNION CORP PFD CONV \$2.20 S38,500 S69,025 S69,000 S68,625 Above I2/17/1981 AUGAT INC SUB DEB CONV \$2.50 9/15/2005 S99,000 S100,000 Below I2/18/1981 CELANESE CORP SUB DEB CONV \$2.50 9/15/2006 S90,025 S90,000 S100,000 Below I2/18/1981 BRISTOL MYERS CO PFD CONV \$2 S55,500 S56,500 S65,500 Below I2/23/1981 BRISTOL MYERS CO PFD CONV \$2 S55,500 S56,500 S65,500 Below I2/23/1981 BRISTOL MYERS CO PFD CONV \$2 S55,500 S65,500 S65,500 Below I2/23/1982 CHICNOTY SUB DEB CONV \$2.50 2/01/1989 S12,5000 No Trades No Trades No Trades I2/23/1982 ENDIX CORP PFD SER A CONV \$2.40 S76,500 S76,500 S76,500 Below I2/23/1982 ENDIX CORP PFD SER A CONV \$2.90 S76,500 S76,500 S76,500 Below I2/23/1982 ENDIX CORP PFD SER A CONV \$2.90 S76,500 S76,500 S76,500 Below I2/23/1982 ENDIX CORP PFD SER A CONV \$2.90 S76,500 S76,500 S76,500 Below I2/23/1982 ENDIX CORP PFD SER A CONV \$2.90 S76,500 S							\$0.500
12/7/1981 RCA CORP 1ST PFD CONV \$4 \$39.750 \$40.750 \$39.750 In Range 12/8/1981 INTERNATIONAL TEL & TELEG CORP PFD SER H CONV \$4 \$55.000 No Trades No Trades No Trades 12/11/1981 ARMCO INC PFD CONV \$2.10 \$35.625 \$35.125 \$		·					In Range \$3.250
12/8/1981 INTERNATIONAL TEL & TELEG CORP PFD SER H CONV \$4 \$55.000 No Trades No Trades 12/11/1981 ARMCO INC PFD CONV \$2.10 \$33.625 \$35.625 \$35.125 \$35.000 \$82.000 \$32.000 \$32.000 \$32.000 \$32.000 \$32.000 \$32.000 \$32.11/1981 UNITED TECHONLOGIES CORP PFD CONV \$2.20 \$26.125 No Trades No Trades 12/15/1981 FOREMOST MCKESSON INC PFD SER A CONV \$2.80 \$61.750 \$62.250 \$62.250 Below 12/15/1981 FOREMOST MCKESSON INC PFD SER A CONV \$1.80 \$62.000 \$62.250 \$62.250 Below 12/15/1981 FOREMOST MCKESSON INC PFD SER A CONV \$1.80 \$62.000 \$62.250 \$62.250 Below 12/15/1981 MC DERMOTT INC PFD SER A CONV \$2.00 \$33.500 \$33.500 \$39.125 \$38.500 In Range 12/16/1981 MESTERN UNION CORP PFD CONV 4.60% \$69.125 \$69.000 \$68.625 Above 12/17/1981 AUGAT INC SUB DEB CONV \$2.50 6/15/2006 \$99.000 \$100.000 Below 12/18/1981 CELANESE CORP SUB DEB CONV 9.750 6/15/2006 \$99.000 \$100.000 Below 12/12/1981 BALDWIN UTD CORP PFD CL U CONC \$2.06 \$90.255 \$91.000 \$91.000 Below 12/22/1981 BALDWIN UTD CORP PFD CL U CONC \$2.06 \$90.625 \$91.000 \$91.000 Below 12/23/1981 BRISTOL MYERS CO PFD CONV \$2 \$55.500 \$56.500 \$56.500 Below 12/23/1981 BRISTOL MYERS CO PFD CONV \$2 \$55.750 \$56.500 \$86.000 Below 12/29/1981 ETHYL CORP PD SER A CONV \$2.40 \$58.125 No Trades No Trades No Trades \$2/22/1982 TESORO PETE CORP SUB DEB CONV \$2.20 \$91.000 No Trades No Trades \$2/23/1982 BENDIX CORP PFD SER B CONV \$2.40 \$92.000 \$43.000 \$42.000 Above \$2/23/1982 CHRIS CRAFT IND INC PFD SIA & CONV \$2.80 \$92.000 \$43.000 \$42.000 Above \$2/23/1982 CHRIS CRAFT IND INC PFD SIA & CONV \$2.20 \$92.605 \$93.500 \$93.500 \$93.000 Below \$93.500 \$93.000 \$93.000 \$93.000 \$93.000 Below \$93.000 \$93.000 \$93.000 \$93.000 \$93.000 \$93.000 \$93.000 \$93.000 \$93.000 \$93.000 \$93.000 \$93.000 \$93.000 \$93.000 \$93.000 \$93.000 \$93.000 \$93.000 \$93.000 \$93.0							In Range
12/11/1981 ARMCO INC PFD CONV \$2.10 \$35.625 \$35.125 \$35.125 Below 12/14/1981 UNITED TECHONLOGIES CORP PFD CONV \$3.875 \$52.000 \$53.000 \$52.500 Below 12/14/1981 WOOLWORTH F W CO PFD SER A CONV \$2.20 \$26.125 No Trades No Trades No Trades 12/15/1981 FOREMOST MCKESSON INC PFD SER A CONV \$1.80 \$61.750 \$62.250 \$62.250 Below 12/15/1981 FOREMOST MCKESSON INC PFD SER A CONV \$1.80 \$61.750 \$62.250 \$62.250 Below 12/15/1981 MC DERMOST MCKESSON INC PFD SER A CONV \$1.80 \$62.000 \$62.250 \$62.250 Below 12/15/1981 MC DERMOST INC PFD SER A CONV \$2.20 \$38.500 \$39.125 \$38.500 In Range 12/16/1981 WESTERN UNION CORP PFD CONV \$2.20 \$38.500 \$69.000 \$69.000 \$68.625 Above 12/17/1981 AUGAT INC SUB DEB CONV \$2.50 9/15/2005 \$99.000 \$100.000 \$100.000 Below 12/18/1981 CELANESE CORP SUB DEB CONV \$7.50 6/15/2006 \$90.250 \$99.000 \$100.000 \$100.000 Below 12/18/1981 CELANESE CORP SUB DEB CONV \$2.206 \$90.625 \$91.000 \$91.000 Below 12/22/1981 BALDWIN UTD CORP PFD CU CONC \$2.06 \$90.625 \$91.000 \$91.000 Below 12/23/1981 BRISTOL MYERS CO PFD CONV \$2 \$55.500 \$56.500 \$56.500 Below 12/23/1981 BRISTOL MYERS CO PFD CONV \$2 \$55.500 \$56.500 \$56.500 Below 12/23/1982 ETBYL CORP \$20 PFD SER A CONV \$2.40 \$58.125 No Trades No Trades No Trades \$2/22/1982 TESORO PETE CORP SUB DEB CONV \$2.50 2/01/1989 \$125.000 No Trades No Trades \$2/23/1982 ERDINIX CORP PFD SER A CONV \$1.80 \$34.000 \$42		·					No Trades
12/14/1981 WOOLWORTH F W CO PFD SER A CONV \$2.20 \$26.125 No Trades No Trades 12/15/1981 FOREMOST MCKESSON INC PFD SER A CONV \$1.80 \$62.000 \$62.250 \$69.020 \$66.25 \$69.000 \$66.625 \$69.020 \$66.250 \$60.220 \$60.200 \$66.250 \$60.200 \$60.00			\$35.625			Above	\$0.500
12/15/1981 FOREMOST MCKESSON INC PFD SER A CONV \$1.80 \$61.750 \$62.250 \$62.250 Below 12/15/1981 FOREMOST MCKESSON INC PFD SER A CONV \$1.80 \$62.000 \$62.250 \$62.250 Below 12/15/1981 MC DERMOST MCKESSON INC PFD SER A CONV \$2.20 \$38.500 \$39.125 \$38.500 In Range 12/16/1981 WESTERN UNION CORP PFD CONV 4.60% \$69.125 \$69.000 \$68.625 Above 12/17/1981 AUGAT INC SUB DEB CONV 8.250 9/15/2005 \$99.000 \$100.000 \$100.000 Below 12/18/1981 CELANESE CORP SUB DEB CONV 9.750 6/15/2006 \$99.250 \$99.875 \$99.000 In Range 12/12/1981 AMERICAN BROADCASTING COS INC WT EXP 01/02/0982 \$24.375 25 25 Below 12/22/1981 BALDWIN UTD CORP PFD CL U CONC \$2.06 \$90.625 \$91.000 \$91.000 Below 12/23/1981 BRISTOL MYERS CO PFD CONV \$2 \$55.500 \$56.500 \$56.500 Below 12/23/1981 BRISTOL MYERS CO PFD CONV \$2 \$55.750 \$56.500 \$56.500 Below 12/29/1981 ETHYL CORP 2ND PFD SER A CONV \$2.40 \$581.25 No Trades No Trades No Trades 2/22/1982 ETSORO PETE CORP SUB DEB CONV 5.250 2/01/1989 \$125.000 No Trades No Trades No Trades 2/23/1982 BRDIX CORP PFD SER A CONV \$2.40 \$77.000 No Trades No Trades No Trades 2/23/1982 BRDIX CORP PFD SER A CONV \$1.80 \$57.000 \$43.000 \$42.000 Above 2/23/1982 FOREMOST MCKESSON INC PFD SER A CONV \$1.80 \$54.625 No Trades No Trades No Trades 2/23/1982 FOREMOST MCKESSON INC PFD SER A CONV \$2.20 \$22.625 \$23.000 \$23.500 Below 2/24/1982 BRISTOL MYERS CO PFD CONV \$2 \$56.500 \$56.500 \$56.500 Below 2/24/1982 BRISTOL MYERS CO PFD CONV \$2 \$56.500 \$56.500 \$56.500 Below 2/24/1982 BRISTOL MYERS CO PFD CONV \$2 \$56.500 \$56.500 \$56.500 \$56.500 \$60.000 \$	2/14/1981	UNITED TECHONLOGIES CORP PFD CONV \$3.875	\$52.000	\$53.000	\$52.500	Below	\$0.500
12/15/1981 FOREMOST MCKESSON INC PFD SER A CONV \$1.80 \$62.000 \$62.250 \$62.250 \$80.000 \$12/15/1981 MC DERMOTT INC PFD SER A CONV \$2.20 \$38.500 \$38.500 \$39.125 \$38.500 \$10.000		·					No Trades
12/15/1981 MC DERMOTT INC PFD SER A CONV \$2.20 \$38,500 \$39,125 \$38,500 In Range 12/16/1981 WESTERN UNION CORP PFD CONV 4.60% \$69,125 \$69,000 \$68,625 Above 12/17/1981 AUGAT INC SUB DEB CONV 8.250 9/15/2005 \$99,000 \$100,000 \$100,000 Below 12/18/1981 CELANESE CORP SUB DEB CONV 9.750 6/15/2006 \$90,250 \$90,875 \$90,000 In Range 12/21/1981 AMERICAN BROADCASTING COS INC WT EXP 01/02/0982 \$24,375 25 25 Below 12/22/1981 BALDWIN UTD CORP PFD CL U CONC \$2.06 \$90,625 \$91,000 \$91,000 Below 12/23/1981 BRISTOL MYERS CO PFD CONV \$2 \$55,500 \$56,500 \$56,500 Below 12/23/1981 BRISTOL MYERS CO PFD CONV \$2 \$55,750 \$56,500 \$56,500 Below 12/29/1981 ETHYL CORP 2ND PFD SER A CONV \$2.40 \$81,25 No Trades No Trades 2/22/1982 TESORO PETE CORP SUB DEB CONV \$2.20 \$125,000 No Trades No Trades 2/23/1982 BENDIX CORP PFD SER B CONV \$3,49% \$92,000 \$43,000 \$42,000 Above 2/23/1982 FOREMOST MCKESSON INC PFD SLA0 \$77,000 <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td>\$0.500</td>							\$0.500
12/16/1981 WESTERN UNION CORP PFD CONV 4.60% \$69.125 \$69.000 \$100.000 \$100.000 \$101/17/1981 AUGAT INC SUB DEB CONV 8.250 9/15/2005 \$99.000 \$100.000 \$100.000 \$100.000 \$102/18/1981 CELANESE CORP SUB DEB CONV 9.750 6/15/2006 \$99.025 \$99.875 \$90.000 In Range \$12/21/1981 AMERICAN BROADCASTING COS INC WT EXP 01/02/0982 \$24.375 \$25 \$25 \$80.000 \$12/22/1981 BALDWIN UTD CORP PFD CU CONC \$2.06 \$90.625 \$91.000 \$91.000 \$80.000 \$12/23/1981 BRISTOL MYERS CO PFD CONV \$2 \$55.500 \$56.500 \$56.500 \$80.000 \$12/23/1981 BRISTOL MYERS CO PFD CONV \$2 \$55.500 \$56.500 \$56.500 \$80.000 \$12/23/1981 BRISTOL MYERS CO PFD CONV \$2 \$55.500 \$56.500 \$56.500 \$80.000 \$12/23/1981 BRISTOL MYERS CO PFD CONV \$2 \$55.500 \$56.500 \$60.000 \$12/23/1982 BENDIX CORP PFD SER A CONV \$2.40 \$51.2500 \$0.000 \$43.000 \$42.000 \$40.000			** ***				\$0.250 In Panga
12/17/1981 AUGAT INC SUB DEB CONV 8.250 9/15/2005 \$99.000 \$100.000 \$100.000 Below 12/18/1981 CELANESE CORP SUB DEB CONV 9.750 6/15/2006 \$90.250 \$90.875 \$90.000 In Range 12/21/1981 AMERICAN BROADCASTING COS INC WT EXP 01/02/0982 \$24.375 25 25 Below 12/22/1981 BALDWIN UTD CORP PFD CL U CONC \$2.06 \$90.625 \$91.000 \$91.000 Below 12/23/1981 BRISTOL MYERS CO PFD CONV \$2 \$55.500 \$56.500 \$56.500 Below 12/23/1981 BRISTOL MYERS CO PFD CONV \$2 \$55.750 \$56.500 \$56.500 Below 12/29/1981 ETHYL CORP 2ND PFD SER A CONV \$2.40 \$58.125 No Trades No Trad							In Range \$0.125
12/18/1981 CELANESE CORP SUB DEB CONV 9.750 6/15/2006 \$90.250 \$90.875 \$90.000 In Range 12/21/1981 AMERICAN BROADCASTING COS INC WT EXP 01/02/0982 \$24.375 \$25 \$25 Below 12/22/1981 BALDWIN UTD CORP PFD CL U CONC \$2.06 \$90.625 \$91.000 \$91.000 Below 12/23/1981 BRISTOL MYERS CO PFD CONV \$2 \$55.500 \$56.500 \$56.500 Below 12/23/1981 BRISTOL MYERS CO PFD CONV \$2 \$55.500 \$56.500 \$56.500 Below 12/23/1981 BRISTOL MYERS CO PFD CONV \$2 \$55.500 \$56.500 \$56.500 Below 12/29/1981 ETHYL CORP 2ND PFD SER A CONV \$2.40 \$58.125 No Trades No Trades No Trades 12/23/1982 BENDIX CORP PFD SER B CONV \$2.20 \$12.5000 No Trades No Trades No Trades 12/23/1982 BENDIX CORP PFD SER B CONV \$3/4% \$92.000 \$43.000 \$42.000 Above 12/23/1982 CHRIS CRAFT IND INC PFD \$1.40 \$77.000 No Trades No Trades No Trades 12/23/1982 FOREMOST MCKESSON INC PFD SER A CONV \$1.80 \$54.625 No Trades No Trades No Trades 12/23/1982 TEXTRON INC PFD CONV \$2.08 \$24.250 \$24.375 \$23.750 In Range 12/23/1982 WOOLWORTH F W CO PFD SER A CONV \$2.20 \$22.625 \$23.000 \$23.000 Below 12/24/1982 ARCATA CORP PFD SER C CONV \$2 \$45.750 \$56.550 \$56.750 Below 12/24/1982 BRISTOL MYERS CO PFD CONV \$2 \$32.875 \$32.500 \$32.500 Below 12/24/1982 EPEBERAL PAPER BRD INC PFD CONV \$8 \$145.875 No Trades No Trades 3/9/1982 UNITED TECHNOLOGIES CORP PFD CONV \$8 \$145.875 No Trades No Trades No Trades 3/9/1982 CITY INVESTING CO PREF SER B CONV \$2.00 \$33.375 No Trades No Trades No Trades 3/9/1982 CONSOLIDATED FOODS CORP PFD SER A CONV \$4.50 \$75.500 \$75.500 Below 14/24/1982 CONSOLIDATED FOODS CORP PFD SER A CONV \$4.50 \$32.875 \$32.500 \$75.500 Below 14/24/1982 CONSOLIDATED FOODS CORP PFD SER A CONV \$4.50 \$33.375 No Trades No Trad							\$1.000
12/22/1981 BALDWIN UTD CORP PFD CL U CONC \$2.06 \$90.625 \$91.000 \$91.000 Below 12/23/1981 BRISTOL MYERS CO PFD CONV \$2 \$55.500 \$56.500 \$56.500 Below 12/23/1981 BRISTOL MYERS CO PFD CONV \$2 \$55.750 \$56.500 \$56.500 Below 12/29/1981 ETHYL CORP 2ND PFD SER A CONV \$2.40 \$58.125 No Trades No Trades 12/29/1982 TESORO PETE CORP SUB DEB CONV \$.250 2/01/1989 \$125.000 No Trades No Trades 12/23/1982 BENDIX CORP PFD SER B CONV \$.250 2/01/1989 \$125.000 No Trades No Trades 12/23/1982 BENDIX CORP PFD SER B CONV \$1.40 \$77.000 No Trades No Trades 12/23/1982 CHRIS CRAFT IND INC PFD \$1.40 \$77.000 No Trades No Trades 12/23/1982 FOREMOST MCKESSON INC PFD SER A CONV \$1.80 \$54.625 No Trades No Trades 12/23/1982 WOOLWORTH F W CO PFD SER A CONV \$2.20 \$22.625 \$23.000 \$23.000 Below 12/23/1982 BRISTOL MYERS CO PFD CONV \$2.08 \$45.750 \$56.250 \$53.500 Below 12/23/1982 BRISTOL MYERS CO PFD CONV \$2.08 \$45.750 \$56.500 \$56.550 \$56.750 Below 12/23/1982 BRISTOL MYERS CO PFD CONV \$2.08 \$45.750 \$56.500 \$71.500 Below 12/23/1982 WESTERN UNION CORP PFD CONV \$2.00 \$32.875 \$32.500 Above 12/23/1982 BRISTOL MYERS CO PFD CONV \$2.00 \$32.875 \$32.500 Above 13/1982 FEDERAL PAPER BRD INC PFD CONV \$8 \$145.875 No Trades No Trades 13/1982 UNITED TECHNOLOGIES CORP PFD CONV \$8 \$145.875 No Trades No Trades 13/9/1982 CITY INVESTING CO PREF SER B CONV \$2.00 \$33.375 No Trades No Trades 13/9/1982 CONSOLIDATED FOODS CORP PFD SER A CONV \$4.50 \$75.500 \$75.500 Below 14/29/1982 CONSOLIDATED FOODS CORP PFD SER A CONV \$4.50 \$75.125 \$75.500 \$75.500 Below 14/29/1982 CONSOLIDATED FOODS CORP PFD SER A CONV \$4.50 \$75.500 \$75.500 Below 14/29/1982 CONSOLIDATED FOODS CORP PFD SER A CONV \$4.50 \$75.500 \$75.500 Below 14/29/1982 CONSOLIDATED FOODS CORP PFD SER A CONV \$4.50 \$75.500 \$75.500 Below 14/29/1982 CONSOLIDATED FOODS CORP PFD SER A CONV \$4.						In Range	In Range
12/23/1981 BRISTOL MYERS CO PFD CONV \$2 \$55.500 \$56.500 \$56.500 Below 12/23/1981 BRISTOL MYERS CO PFD CONV \$2 \$55.750 \$56.500 \$56.500 Below 12/29/1981 ETHYL CORP 2ND PFD SER A CONV \$2.40 \$58.125 No Trades No Trades No Trades 2/22/1982 TESORO PETE CORP SUB DEB CONV \$2.50 2/01/1989 \$125.000 No Trades No Trades No Trades 2/23/1982 BENDIX CORP PFD SER B CONV \$2.40 \$92.000 \$43.000 \$42.000 Above 2/23/1982 CHRIS CRAFT IND INC PFD \$1.40 \$77.000 No Trades No Trades No Trades 2/23/1982 FOREMOST MCKESSON INC PFD SER A CONV \$1.80 \$54.625 No Trades No Trades No Trades 2/23/1982 TEXTRON INC PFD CONV \$2.08 \$44.250 \$24.375 \$23.750 In Range 2/23/1982 WOOLWORTH F W CO PFD SER A CONV \$2.20 \$22.625 \$23.000 \$23.000 Below 2/24/1982 ARCATA CORP PFD SER C CONV \$2 \$45.750 \$56.250 \$53.500 Below 2/24/1982 WESTERN UNION CORP PFD CONV \$2 \$69.500 \$71.500 Below 2/24/1982 WESTERN UNION CORP PFD CONV \$4.00% \$69.500 \$71.500 \$71.500 Below 3/5/1982 FEDERAL PAPER BRD INC PFD CONV \$8 \$145.875 No Trades No Trades No Trades 3/9/1982 UNITED TECHNOLOGIES CORP PFD CONV \$8 \$145.875 No Trades No	2/21/1981	AMERICAN BROADCASTING COS INC WT EXP 01/02/0982	\$24.375	25	25	Below	\$0.625
12/23/1981 BRISTOL MYERS CO PFD CONV \$2 \$55.750 \$56.500 \$56.500 Below 12/29/1981 ETHYL CORP 2ND PFD SER A CONV \$2.40 \$58.125 No Trades No Trades 2/22/1982 TESORO PETE CORP SUB DEB CONV 5.250 2/01/1989 \$125.000 No Trades No Trades 2/23/1982 BENDIX CORP PFD SER B CONV 9 3/4% \$92.000 \$43.000 \$42.000 Above 2/23/1982 CHRIS CRAFT IND INC PFD \$1.40 \$77.000 No Trades No Trades 2/23/1982 FOREMOST MCKESSON INC PFD SER A CONV \$1.80 \$54.625 No Trades No Trades 2/23/1982 TEXTRON INC PFD CONV \$2.08 \$24.250 \$24.375 \$23.750 In Range 2/23/1982 WOOLWORTH F W CO PFD SER A CONV \$2.20 \$22.625 \$23.000 \$23.000 Below 2/24/1982 ARCATA CORP PFD SER C CONV \$2 \$45.750 \$56.250 \$53.500 Below 2/24/1982 BRISTOL MYERS CO PFD CONV \$2 \$69.500 \$71.500 Below 2/24/1982 WESTERN UNION CORP PFD CONV 4.60% \$69.500 \$71.500 \$32.500 Above 3/5/1982 FEDERAL PAPER BRD INC PFD CONV \$8 \$145.875 No Trades No Trades 3/9/1982 CITY INVESTING CO PREF SER B CONV \$2.00 \$33.375 No Trades No Trades 3/9/1982 CONSOLIDATED FOODS CORP PFD SER A CONV \$4.50 \$75.125 \$75.500 \$75.500 Below 3/5/1982 CONSOLIDATED FOODS CORP PFD SER A CONV \$4.50 \$75.125 \$75.500 \$75.500 Below 3/5/1982 CONSOLIDATED FOODS CORP PFD SER A CONV \$4.50 \$75.125 \$75.500 \$75.500 Below 3/5/1982 CONSOLIDATED FOODS CORP PFD SER A CONV \$4.50 \$75.125 \$75.500 \$75.500 Below 3/5/1982 CONSOLIDATED FOODS CORP PFD SER A CONV \$4.50 \$75.125 \$75.500 \$75.500 Below 3/5/1982 CONSOLIDATED FOODS CORP PFD SER A CONV \$4.50 \$75.125 \$75.500 \$75.500 Below 3/5/1982 CONSOLIDATED FOODS CORP PFD SER A CONV \$4.50 \$75.125 \$75.500 \$75.500 Below 3/5/1982 CONSOLIDATED FOODS CORP PFD SER A CONV \$4.50 \$75.125 \$75.500 \$75.500 Below 3/5/1982 CONSOLIDATED FOODS CORP PFD SER A CONV \$4.50 \$75.500 \$75.500 Below 3/5/1982 CONSOLIDATED FOODS CORP PFD SER A CONV \$4.50 \$75.500 \$75.500 Below 3/5/198		·					\$0.375
12/29/1981 ETHYL CORP 2ND PFD SER A CONV \$2.40 \$58.125 No Trades No Trades No Trades 2/22/1982 TESORO PETE CORP SUB DEB CONV 5.250 2/01/1989 \$125.000 No Trades No Trades 2/23/1982 BENDIX CORP PFD SER B CONV 9 3/4% \$92.000 \$43.000 \$42.000 Above 2/23/1982 CHRIS CRAFT IND INC PFD \$1.40 \$77.000 No Trades No Trades No Trades No Trades 2/23/1982 FOREMOST MCKESSON INC PFD SER A CONV \$1.80 \$54.625 No Trades No Trades No Trades 2/23/1982 WOOLWORTH F W CO PFD SER A CONV \$2.08 \$24.250 \$24.375 \$23.750 In Range 2/24/1982 WOOLWORTH F W CO PFD SER A CONV \$2.20 \$22.625 \$23.000 \$23.000 Below 2/24/1982 BRISTOL MYERS CO PFD SER C CONV \$2 \$45.750 \$56.250 \$53.500 Below 2/24/1982 WESTERN UNION CORP PFD CONV \$2 \$56.500 \$56.550 \$56.750 Below 3/5/1982 UNITED TECHNOLOGIES CORP PFD CONV \$8 \$145.875 No Trades No Trades 3/9/1982 CITY INVESTING CO PREF SER B CONV \$2.00 \$33.375 No Trades No Trades 3/9/1982 CONSOLIDATED FOODS CORP							\$1.000
2/22/1982 TESORO PETE CORP SUB DEB CONV 5.250 2/01/1989 \$125.000 No Trades No Trades No Trades 2/23/1982 BENDIX CORP PFD SER B CONV 9 3/4% \$92.000 \$43.000 \$42.000 Above 2/23/1982 CHRIS CRAFT IND INC PFD \$1.40 \$77.000 No Trades No Trades No Trades 2/23/1982 FOREMOST MCKESSON INC PFD SER A CONV \$1.80 \$54.625 No Trades No Trades 2/23/1982 TEXTRON INC PFD CONV \$2.08 \$24.250 \$24.375 \$23.750 In Range 2/23/1982 WOOLWORTH F W CO PFD SER A CONV \$2.20 \$22.625 \$23.000 \$23.000 Below 2/24/1982 ARCATA CORP PFD SER C CONV \$2 \$45.750 \$56.250 \$53.500 Below 2/24/1982 BRISTOL MYERS CO PFD CONV \$2 \$56.500 \$56.550 \$56.750 Below 2/24/1982 WESTERN UNION CORP PFD CONV \$2 \$56.900 \$71.500 \$71.500 Below 3/5/1982 FEDERAL PAPER BRD INC PFD CONV \$1.20 \$32.875 \$32.500 Above 3/9/1982 CITY INVESTING CO PREF SER B CONV \$2.00 \$33.375 No Trades No Trades 3/9/1982 CONSOLIDATED FOODS CORP PFD SER A CONV \$4.50 \$75.125 \$75.500 \$75.500 Below							\$0.750
2/23/1982 BENDIX CORP PFD SER B CONV 9 3/4% \$92.000 \$43.000 \$42.000 Above 2/23/1982 CHRIS CRAFT IND INC PFD \$1.40 \$77.000 No Trades No Trades 2/23/1982 FOREMOST MCKESSON INC PFD SER A CONV \$1.80 \$54.625 No Trades No Trades 2/23/1982 TEXTRON INC PFD CONV \$2.08 \$24.250 \$24.375 \$23.750 In Range 2/23/1982 WOOLWORTH F W CO PFD SER A CONV \$2.20 \$22.625 \$23.000 \$23.000 Below 2/24/1982 ARCATA CORP PFD SER C CONV \$2 \$45.750 \$56.250 \$53.500 Below 2/24/1982 BRISTOL MYERS CO PFD CONV \$2 \$56.500 \$56.750 \$56.750 Below 2/24/1982 WESTERN UNION CORP PFD CONV 4.60% \$69.500 \$71.500 \$60.500 \$71.500 Below 3/5/1982 UNITED TECHNOLOGIES CORP PFD CONV \$8 \$145.875 No Trades No Trades No Trades No Trades 3/9/1982 CITY INVESTING CO PREF SER B CONV \$2.00 \$33.375 No Trades No Trades No Trades 3/9/1982 CONSOLIDATED FOODS CORP PFD SER A CONV \$4.50 \$75.125 \$75.500 \$75.500 Below							No Trades No Trades
2/23/1982 CHRIS CRAFT IND INC PFD \$1.40 \$77.000 No Trades No Trades 2/23/1982 FOREMOST MCKESSON INC PFD SER A CONV \$1.80 \$54.625 No Trades No Trades 2/23/1982 TEXTRON INC PFD CONV \$2.08 \$24.250 \$24.375 \$23.750 In Range 2/23/1982 WOOLWORTH F W CO PFD SER A CONV \$2.20 \$22.625 \$23.000 \$23.000 Below 2/24/1982 ARCATA CORP PFD SER C CONV \$2 \$45.750 \$56.250 \$55.500 Below 2/24/1982 BRISTOL MYERS CO PFD CONV \$2 \$56.500 \$56.500 \$56.750 Below 2/24/1982 WESTERN UNION CORP PFD CONV 4.60% \$69.500 \$71.500 \$61.00 3/5/1982 FEDERAL PAPER BRD INC PFD CONV 1.20 \$32.805 \$32.500 Above 3/5/1982 UNITED TECHNOLOGIES CORP PFD CONV \$8 \$145.875 No Trades No Trades No Trades No Trades 3/9/1982 CITY INVESTING CO PREF SER B CONV \$2.00 \$33.375 No Trades No Trades No Trades 3/9/1982 CONSOLIDATED FOODS CORP PFD SER A CONV \$4.50 \$75.105 \$75.500 \$75.500 Below							\$49.000
2/23/1982 FOREMOST MCKESSON INC PFD SER A CONV \$1.80 \$54.625 No Trades No Trades 2/23/1982 TEXTRON INC PFD CONV \$2.08 \$24.250 \$24.375 \$23.750 In Range 2/23/1982 WOOLWORTH F W CO PFD SER A CONV \$2.20 \$22.625 \$23.000 \$23.000 Below 2/24/1982 ARCATA CORP PFD SER C CONV \$2 \$45.750 \$56.250 \$53.500 Below 2/24/1982 BRISTOL MYERS CO PFD CONV \$2 \$56.500 \$56.500 \$56.550 \$56.750 Below 2/24/1982 WESTERN UNION CORP PFD CONV 4.60% \$69.500 \$71.500 \$71.500 Below 3/5/1982 FEDERAL PAPER BRD INC PFD CONV 1.20 \$32.875 \$32.500 \$32.500 Above 3/5/1982 UNITED TECHNOLOGIES CORP PFD CONV \$8 \$145.875 No Trades No Tra					-		No Trades
2/23/1982 WOOLWORTH F W CO PFD SER A CONV \$2.20 \$22.625 \$23.000 \$23.000 Below 2/24/1982 ARCATA CORP PFD SER C CONV \$2 \$45.750 \$56.250 \$53.500 Below 2/24/1982 BRISTOL MYERS CO PFD CONV \$2 \$56.500 \$56.750 \$56.750 Below 2/24/1982 WESTERN UNION CORP PFD CONV 4.60% \$69.500 \$71.500 \$71.500 Below 3/5/1982 FEDERAL PAPER BRD INC PFD CONV 1.20 \$32.875 \$32.500 \$32.500 Above 3/5/1982 UNITED TECHNOLOGIES CORP PFD CONV \$8 \$145.875 No Trades No Trades No Trades 3/9/1982 CITY INVESTING CO PREF SER B CONV \$2.00 \$33.375 No Trades No Trades No Trades 3/9/1982 CONSOLIDATED FOODS CORP PFD SER A CONV \$4.50 \$75.125 \$75.500 \$75.500 Below		·					No Trades
2/24/1982 ARCATA CORP PFD SER C CONV \$2 \$45.750 \$56.250 \$53.500 Below 2/24/1982 BRISTOL MYERS CO PFD CONV \$2 \$56.500 \$56.750 \$56.750 Below 2/24/1982 WESTERN UNION CORP PFD CONV 4.60% \$69.500 \$71.500 \$71.500 Below 3/5/1982 FEDERAL PAPER BRD INC PFD CONV 1.20 \$32.875 \$32.500 \$32.500 Above 3/5/1982 UNITED TECHNOLOGIES CORP PFD CONV \$8 \$145.875 No Trades No Trades No Trades 3/9/1982 CITY INVESTING CO PREF SER B CONV \$2.00 \$33.375 No Trades No Trades 3/9/1982 CONSOLIDATED FOODS CORP PFD SER A CONV \$4.50 \$75.125 \$75.500 \$75.500 Below		• • • • • • • • • • • • • • • • • • • •					In Range
2/24/1982 BRISTOL MYERS CO PFD CONV \$2 \$56.500 \$56.500 \$56.750 Below 2/24/1982 WESTERN UNION CORP PFD CONV 4.60% \$69.500 \$71.500 \$71.500 Below 3/5/1982 FEDERAL PAPER BRD INC PFD CONV 1.20 \$32.875 \$32.500 \$32.500 Above 3/5/1982 UNITED TECHNOLOGIES CORP PFD CONV \$8 \$145.875 No Trades No Trades No Trades 3/9/1982 CITY INVESTING CO PREF SER B CONV \$2.00 \$33.375 No Trades No Trades 3/9/1982 CONSOLIDATED FOODS CORP PFD SER A CONV \$4.50 \$75.125 \$75.500 \$75.500							\$0.375
2/24/1982 WESTERN UNION CORP PFD CONV 4.60% \$69.500 \$71.500 \$71.500 Below 3/5/1982 FEDERAL PAPER BRD INC PFD CONV 1.20 \$32.875 \$32.500 \$32.500 Above 3/5/1982 UNITED TECHNOLOGIES CORP PFD CONV \$8 \$145.875 No Trades No Trades No Trades 3/9/1982 CITY INVESTING CO PREF SER B CONV \$2.00 \$33.375 No Trades No Trades 3/9/1982 CONSOLIDATED FOODS CORP PFD SER A CONV \$4.50 \$75.125 \$75.500 \$75.500							\$7.750
3/5/1982 FEDERAL PAPER BRD INC PFD CONV 1.20 \$32.875 \$32.500 \$32.500 Above 3/5/1982 UNITED TECHNOLOGIES CORP PFD CONV \$8 \$145.875 No Trades No Trades No Trades 3/9/1982 CITY INVESTING CO PREF SER B CONV \$2.00 \$33.375 No Trades No Trades No Trades 3/9/1982 CONSOLIDATED FOODS CORP PFD SER A CONV \$4.50 \$75.125 \$75.500 \$75.500 Below							\$0.250
3/5/1982 UNITED TECHNOLOGIES CORP PFD CONV \$8 \$145.875 No Trades No Trades No Trades 3/9/1982 CITY INVESTING CO PREF SER B CONV \$2.00 \$33.375 No Trades No Trades No Trades 3/9/1982 CONSOLIDATED FOODS CORP PFD SER A CONV \$4.50 \$75.125 \$75.500 \$75.500 Below							\$2.000 \$0.375
3/9/1982 CITY INVESTING CO PREF SER B CONV \$2.00 \$33.375 No Trades No Trades 3/9/1982 CONSOLIDATED FOODS CORP PFD SER A CONV \$4.50 \$75.125 \$75.500 \$75.500 Below							No Trades
3/9/1982 CONSOLIDATED FOODS CORP PFD SER A CONV \$4.50 \$75.125 \$75.500 \$75.500 Below		· · · · · · · · · · · · · · · · · · ·					No Trades
							\$0.375
	3/11/1982	ARCATA CORP PFD SER A CONV \$2.16	\$31.750	No Trades	No Trades	No Trades	No Trades
3/11/1982 ETHYL CORP 2ND PFD SER A CONV \$2.40 \$48.875 \$50.000 \$50.000 Below							\$1.125
3/12/1982 OCCIDENTAL PETE CORP PFD CONV \$3.60 \$61.375 Below	3/12/1982	OCCIDENTAL PETE CORP PFD CONV \$3.60	\$61.250	\$61.375	\$61.375	Below	\$0.125

Frade Date	Security Name	IA Business Purported Price ²	Daily High ³	Daily Low ³	Above/Below	Magnitude
3/15/1982 TIME INC PFD SER B CONV S	S1.575	\$48.375	49	\$48.250	In Range	In Range
3/18/1982 BENDIX CORP PFD SER A CO		\$102.750	No Trades	No Trades	No Trades	No Trades
3/18/1982 EATON CORP PFD CONV 4.7: 3/18/1982 LEAR SIEGLER INC PFD CON		\$41.125 \$56.500	No Trades No Trades	No Trades No Trades	No Trades No Trades	No Trades
3/18/1982 TRW INC PREF SER 3 CONV		\$84.875	\$84.750	\$84.750	Above	No Trades \$0.125
3/18/1982 TRW INC PREF SER 3 CONV		\$85.250	\$84.750	\$84.750	Above	\$0.500
3/18/1982 TRW INC PREF SER 3 CONV		\$85.750	\$84.750	\$84.750	Above	\$1.000
3/19/1982 ROCKWELL INTL CORP PFD	SER B CONV \$1.35	\$49.125	\$48.000	\$48.000	Above	\$1.125
3/19/1982 TRW INC PREF1 CONV \$4.40	D CONTACT TO	\$102.625	No Trades	No Trades	No Trades	No Trades
12/6/1982 AMERICAN BRANDS INC PFI 12/6/1982 TIME INC PFD SER B CONV S		\$42.875 \$67.500	No Trades \$66.500	No Trades \$66,500	No Trades	No Trades \$1.000
12/0/1982 TIME INC PFD SER B CONV S 12/15/1982 EMHART CORP VA PFD CON		\$67.500 \$72.500	No Trades	No Trades	Above No Trades	No Trade
12/15/1982 JAMES RIVER CORP OF VAS		\$101.000	\$103.500	\$99.375	In Range	In Range
12/15/1982 JAMES RIVER CORP OF VA S		\$101.500	\$103.500	\$99.375	In Range	In Range
12/17/1982 INTERCO INC PFD SER D CO	NV \$7.75	\$126.000	\$127.250	\$126.500	Below	\$0.500
12/23/1982 AMERICAN TEL & TELEG CO		\$60.625	\$61.250	\$60.750	Below	\$0.12
2/8/1983 NEWMONT MNG CORP PFD		\$175.750	No Trades	No Trades	No Trades	No Trade
2/23/1983 TIME INC PFD SER B CONV \$		\$67.500	\$66.500	\$66.500	Above	\$1.000
2/23/1983 TIME INC PFD SER B CONV S 2/23/1983 TIME INC PFD SER B CONV S		\$67.750 \$68.000	\$66.500 \$66.500	\$66.500 \$66.500	Above Above	\$1.250 \$1.500
2/23/1983 TIME INC PFD SER B CONV S		\$68.000 \$68.500	\$66.500	\$66.500	Above	\$1.50 \$2.00
2/25/1983 HIME INC PFD SER B CONV S		\$186.000	\$188.875	\$188.000	Below	\$2.00 \$2.00
2/25/1983 MERRILL LYNCH & CO INC		\$187.000	\$188.875	\$188.000	Below	\$1.00
2/25/1983 MERRILL LYNCH & CO INC		\$187.500	\$188.875	\$188.000	Below	\$0.50
2/28/1983 ANACOMP INC SUB DEB CO	NV 9.500 9/01/2000	\$139.000	\$143.500	\$140.000	Below	\$1.000
2/28/1983 ASSOCIATED DRY GOODS C		\$71.500	No Trades	No Trades	No Trades	No Trade
2/28/1983 ROCKWELL INTL CORP PFD	·	\$89.000	\$90.000	\$90.000	Below	\$1.000
2/28/1983 SHELLER GLOBE CORP PFD	CONV \$1.35	\$37.875	\$37.375	\$36.375	Above	\$0.500
3/1/1983 SUN INC PFD CONV \$2.25 3/1/1983 UNION PACIFIC CORP PFD S	ED A CONV \$7.25	\$65.500 \$103.250	\$64.750 \$104.000	\$64.625 \$103.000	Above In Range	\$0.75
3/2/1983 AMERICAN BRANDS INC PF		\$42.750	No Trades	No Trades	No Trades	In Range No Trade
3/2/1983 GULF & WESTN INDS INC PF		\$152.000	\$151.000	\$151.000	Above	\$1.000
3/3/1983 MONSANTO CO PFD CONV \$		\$97.250	No Trades	No Trades	No Trades	No Trade
3/3/1983 MONSANTO CO PFD CONV \$	32.75	\$97.500	No Trades	No Trades	No Trades	No Trade
3/7/1983 FOREMOST MCKESSON INC		\$62.250	No Trades	No Trades	No Trades	No Trade
3/8/1983 FOUR PHASE SYS INC SUB D		\$162.000	No Trades	No Trades	No Trades	No Trade
3/8/1983 FOUR PHASE SYS INC SUB D		\$164.000	No Trades	No Trades	No Trades	No Trade
3/9/1983 ATLANTIC RICHFIELD CO PI 3/9/1983 HOUSEHOLD INTL INC PFD (\$273.500 \$52.250	No Trades No Trades	No Trades No Trades	No Trades No Trades	No Trade No Trade
3/9/1983 OGDEN CORP PFD CONV \$1.		\$64.500	No Trades	No Trades	No Trades	No Trade
3/11/1983 FMC CORP PFD CONV \$2.25	073	\$44.875	No Trades	No Trades	No Trades	No Trade
3/11/1983 FMC CORP PFD CONV \$2.25		\$45.000	No Trades	No Trades	No Trades	No Trade
3/11/1983 FMC CORP PFD CONV \$2.25		\$45.250	No Trades	No Trades	No Trades	No Trade
3/11/1983 WASHINGTON NATL CORP I		\$42.750	\$43.000	\$43.000	Below	\$0.250
3/11/1983 WASHINGTON NATL CORP I	• • • •	\$43.000	\$43.000	\$43.000	In Range	In Range
3/11/1983 WASHINGTON NATL CORP F	• • •	\$43.250	\$43.000	\$43.000	Above	\$0.250
3/15/1983 GREYHOUND CORP SUB DE: 3/15/1983 HORN & HARDART CO SUB		\$128.000 \$110.000	\$129.000 \$125.250	\$129.000 \$110.000	Below In Range	\$1.000 In Range
3/18/1983 JOHNSON CTLS INC PFD SER		\$49.500	No Trades	No Trades	No Trades	No Trade
3/18/1983 JOHNSON CTLS INC PFD SER		\$49.625	No Trades	No Trades	No Trades	No Trade
3/18/1983 JOHNSON CTLS INC PFD SEF		\$49.750	No Trades	No Trades	No Trades	No Trade
3/18/1983 ROHR INDS INC PFD SER B C	CONV 3.125	\$38.125	\$37.500	\$35.375	Above	\$0.625
3/18/1983 ROHR INDS INC PFD SER B C		\$38.250	\$37.500	\$35.375	Above	\$0.750
3/18/1983 ROHR INDS INC PFD SER B C		\$38.500	\$37.500	\$35.375	Above	\$1.000
3/23/1983 GULF & WESTN INDS INC PF		\$56.875	\$58.000	\$56.000	In Range	In Rang
3/23/1983 GULF & WESTN INDS INC PF 3/23/1983 TRANS WORLD AIRLS INC S		\$57.375 \$136.000	\$58.000 No Trades	\$56.000 No Trades	In Range No Trades	In Rang No Trade
3/23/1983 TRANS WORLD AIRLS INC S		\$136.500	No Trades	No Trades	No Trades	No Trade
3/23/1983 TRANS WORLD AIRLS INC S		\$137.000	No Trades	No Trades	No Trades	No Trade
3/24/1983 UNION PACIFIC CORP PFD S		\$101.250	\$103.250	\$101.000	In Range	In Rang
7/29/1983 HOUSEHOLD INTL INC PFD (\$59.875	No Trades	No Trades	No Trades	No Trade
8/17/1983 ETHYL CORP 2ND PFD SER A		\$130.250	No Trades	No Trades	No Trades	No Trade
8/26/1983 AMERADA HESS CORP PFD (\$138.500	No Trades	No Trades	No Trades	No Trade
8/29/1983 AMERADA HESS CORP PFD (\$138.500	\$140.000	\$140.000	Below	\$1.50
8/31/1983 INTERNATIONAL HARVESTI		\$33.000	\$33.000	\$32.500	In Range	In Range
9/8/1983 INTERCO INC PFD SER D CO		\$150.500 \$151.000	No Trades	No Trades	No Trades	No Trade
9/8/1983 INTERCO INC PFD SER D CO 9/8/1983 INTERCO INC PFD SER D CO		\$151.000 \$152.000	No Trades No Trades	No Trades No Trades	No Trades No Trades	No Trade No Trade
9/8/1983 INTERCO INC PFD SER D CO		\$152.500 \$152.500	No Trades No Trades	No Trades	No Trades	No Trade
		Ψ102.000			- 10 - 114400	o IIudo

Trade Date Security Name	IA Business Purported Price ²	Daily High ³	Daily Low ³	Above/Below	Magnitude
9/8/1983 SHELLER GLOBE CORP \$ 3 CV PFD	\$108.000	No Trades	No Trades	No Trades	No Trades
9/8/1983 TOTAL PETE NORTH AMER LTD WTS EXP 6/30/86	\$4.000	\$4.125	\$3.875	In Range	In Range
9/9/1983 SOUTHMARK CORP PFD SER A CONV \$1 9/12/1983 PENNWALT CORP PREF CONV \$2.50	\$12.000 \$59.625	\$12.250 No Trades	\$12.000 No Trades	In Range No Trades	In Range No Trades
9/12/1963 PENNWALT CORP PREF CONV \$2.50 9/12/1983 PENNWALT CORP PREF CONV \$2.50	\$60.000	No Trades	No Trades	No Trades	No Trades
9/14/1983 ASSOCIATED DRY GOODS CORP PFD SER A CONV \$4.75	\$100.750	No Trades	No Trades	No Trades	No Trades
9/14/1983 WASHINGTON NATL CORP PFD CONV \$2.50	\$51.250	\$51.000	\$48.500	Above	\$0.250
9/16/1983 UNITED TECHNOLOGIES CORP PFD CONV \$3.875	\$85.000	\$85.750	\$85.750	Below	\$0.750
9/16/1983 UNITED TECHNOLOGIES CORP PFD CONV \$3.875	\$85.250	\$85.750	\$85.750	Below	\$0.500
9/19/1983 BRISTOL MYERS CO PFD CONV \$2 9/21/1983 ATLANTIC RICHFIELD CO PFD CONV \$ 2.80	\$82.750 \$113.250	No Trades \$115.875	No Trades \$114.000	No Trades Below	No Trades \$0.750
9/21/1983 HOUSEHOLD INTL INC PFD CONV \$ 2.80	\$113.230 \$46.375	\$46.250	\$46.000	Above	\$0.730
11/29/1983 CHAMPION INTL CORP PREF CONV \$1.20	\$26.750	\$27.875	\$27.500	Below	\$0.750
11/29/1983 CHAMPION INTL CORP PREF CONV \$1.20	\$26.875	\$27.875	\$27.500	Below	\$0.625
11/29/1983 CHAMPION INTL CORP PREF CONV \$1.20	\$27.000	\$27.875	\$27.500	Below	\$0.500
11/29/1983 CHAMPION INTL CORP PREF CONV \$1.20	\$27.125	\$27.875	\$27.500	Below	\$0.37
11/29/1983 TRW INC PREF SER 1 CONV \$4.40	\$172.250	\$171.000	\$171.000	Above	\$1.250
11/29/1983 TRW INC PREF SER 1 CONV \$4.40 12/2/1983 BRISTOL MYERS CO PFD CONV \$2	\$172.625 \$89.250	\$171.000 No Trades	\$171.000 No Trades	Above No Trades	\$1.625 No Trade
12/5/1983 HOUSEHOLD INTL INC PFD CONV \$2.50	\$46.500	\$46.000	\$45.750	Above	\$0.500
12/9/1983 AMERADA HESS CORP PFD CONV \$2.50	\$114.250	\$114.000	\$113.500	Above	\$0.250
12/15/1983 AVCO CORP PFD CONV \$3.20	\$62.750	\$64.625	\$64.500	Below	\$1.750
12/16/1983 MONSANTO CO PFD CONV \$2.75	\$113.500	No Trades	No Trades	No Trades	No Trades
12/16/1983 MONSANTO CO PFD CONV \$2.75	\$113.750	No Trades	No Trades	No Trades	No Trades
12/16/1983 MONSANTO CO PFD CONV \$2.75	\$113.875	No Trades	No Trades	No Trades	No Trades
12/19/1983 ASSOCIATED DRY GOODS CORP PFD SER A CONV \$4.75	\$92.750	\$98.500	\$98.000	Below	\$5.250
12/22/1983 ETHYL CORP 2ND PFD SER A CONV \$2.40 12/22/1983 FMC CORP PFD CONV \$2.25	\$133.000 \$56.875	No Trades No Trades	No Trades No Trades	No Trades No Trades	No Trades
12/22/1983 FMC CORP PFD CONV \$2.25	\$57.000	No Trades	No Trades	No Trades	No Trades
12/22/1983 FMC CORP PFD CONV \$2.25	\$57.500 \$57.500	No Trades	No Trades	No Trades	No Trades
2/23/1984 BRISTOL MYERS CO PFD CONV \$2	\$86.000	No Trades	No Trades	No Trades	No Trades
2/23/1984 BRISTOL MYERS CO PFD CONV \$2	\$88.000	No Trades	No Trades	No Trades	No Trades
2/23/1984 BRISTOL MYERS CO PFD CONV \$2	\$89.500	No Trades	No Trades	No Trades	No Trades
2/29/1984 ROCKWELL INTL CORP PFD SER A CONV \$4.75	\$254.250	No Trades	No Trades	No Trades	No Trades
3/1/1984 ETHYL CORP 2ND PFD SER A CONV \$2.40	\$111.250	No Trades	No Trades	No Trades	No Trades
3/1/1984 UNITED STATES GYPSUM CO PFD CONV \$1.80 3/1/1984 UNITED STATES GYPSUM CO PFD CONV \$1.80	\$48.125 \$48.250	No Trades No Trades	No Trades No Trades	No Trades No Trades	No Trades
3/1/1984 VIACOM INTL INC PFD CONV \$1.80	\$31.500	\$30.250	\$30.250	Above	\$1.250
3/5/1984 AMERADA HESS CORP PFD CONV \$3.50	\$138.500	\$137.500	\$137.500	Above	\$1.000
3/5/1984 OCCIDENTAL PETE CORP PFD CONV \$4	\$85.875	No Trades	No Trades	No Trades	No Trades
3/7/1984 ROCKWELL INTL CORP PFD SER A CONV \$4.75	\$93.250	No Trades	No Trades	No Trades	No Trades
3/7/1984 ROCKWELL INTL CORP PFD SER B CONV \$1.35	\$93.250	\$93.000	\$93.000	Above	\$0.250
3/8/1984 ASSOCIATED DRY GOODS CORP PFD SER A CONV \$4.75	\$83.375	\$84.000	\$81.250	In Range	In Range
3/8/1984 ASSOCIATED DRY GOODS CORP PFD SER A CONV \$4.75	\$84.000	\$84.000	\$81.250	In Range	In Range
3/8/1984 FMC CORP PFD CONV \$2.25 3/8/1984 FMC CORP PFD CONV \$2.25	\$53.250 \$53.375	\$53.375 \$53.375	\$53.375 \$53.375	Below In Range	\$0.125 In Range
3/8/1984 FMC CORP PFD CONV \$2.25	\$53.625	\$53.375	\$53.375	Above	\$0.250
3/8/1984 FMC CORP PFD CONV \$2.25	\$53.875	\$53.375	\$53.375	Above	\$0.500
3/12/1984 BELL & HOWELL CO PFD SER A CONV	\$20.500	\$20.625	\$20.000	In Range	In Range
3/12/1984 BELL & HOWELL CO PFD SER A CONV	\$20.625	\$20.625	\$20.000	In Range	In Range
3/13/1984 WACHOVIA CORP PFD SER A CONV \$2.20	\$114.750	No Trades	No Trades	No Trades	No Trades
3/13/1984 WACHOVIA CORP PFD SER A CONV \$2.20	\$115.000	No Trades	No Trades	No Trades	No Trades
3/13/1984 WACHOVIA CORP PFD SER A CONV \$2.20	\$115.500 \$115.750	No Trades	No Trades	No Trades	No Trades
3/13/1984 WACHOVIA CORP PFD SER A CONV \$2.20 3/15/1984 LEAR SIEGLER INC PFD CONV \$2.25	\$113.730 \$100.875	No Trades No Trades	No Trades No Trades	No Trades No Trades	No Trades
3/19/1984 BEATRICE FOODS CO PREF SER A CONV \$3.38	\$57.250	\$58.500	\$57.750	Below	\$0.500
3/21/1984 SUN INC PFD CONV \$2.25	\$104.750	\$109.000	\$103.500	In Range	In Range
3/21/1984 SUN INC PFD CONV \$2.25	\$107.000	\$109.000	\$103.500	In Range	In Range
3/22/1984 INTERCO INC PFD SER D CONV \$7.75	\$123.000	\$123.000	\$123.000	In Range	In Rang
3/23/1984 HOUSEHOLD INTL INC PFD CONV \$2.375	\$56.750	No Trades	No Trades	No Trades	No Trade
3/23/1984 HOUSEHOLD INTL INC PFD CONV \$2.375	\$57.750	No Trades	No Trades	No Trades	No Trade
3/23/1984 WAL-MART STORES INC PFG SER A CONV 8% 7/11/1984 INTERNATIONAL HARVESTER CORRESER A CONV \$3	\$72.000 \$24.500	No Trades	No Trades	No Trades	No Trade
7/11/1984 INTERNATIONAL HARVESTER CO PREF SER A CONV \$3 7/31/1984 PITNEY BOWES INC PREF CONV \$2.12	\$24.500 \$57.125	No Trades \$56.250	No Trades \$56.000	No Trades Above	No Trade \$0.87
8/17/1984 KIDDE INC PREF SER C CONV \$4	\$73.000	\$73.000	\$73.000	In Range	In Rang
8/27/1984 WAL-MART STORES INC PFD SER A CONV 8%	\$92.375	No Trades	No Trades	No Trades	No Trade
8/27/1984 WAL-MART STORES INC PFD SER A CONV 8%	\$93.500	No Trades	No Trades	No Trades	No Trades
		No Trades	No Trades	No Trades	No Trade
8/28/1984 WACHOVIA CORP PFD SER A CONV \$2.20	\$141.000	NO Trades	No Trades	No Trades	NO Trade
8/28/1984 WACHOVIA CORP PFD SER A CONV \$2.20 8/28/1984 WASHINGTON NATL CORP PFD CONV \$2.50 8/28/1984 WASHINGTON NATL CORP PFD CONV \$2.50	\$35.125 \$35.250	No Trades No Trades	No Trades No Trades	No Trades No Trades	No Trade

Trade Date Security Name	IA Business Purported Price ²	Daily High ³	Daily Low ³	Above/Below	Magnitude
8/28/1984 WASHINGTON NATL CORP PFD CONV \$2.50	\$35.375	No Trades	No Trades	No Trades	No Trades
8/29/1984 ROCKWELL INTL CORP PFD SER B CONV \$1.35	\$105.000	\$108.250	\$108.000	Below	\$3.000
8/30/1984 SHELLER GLOBE CORP \$ 3 CV PFD	\$69.375	No Trades	No Trades	No Trades	No Trades
9/4/1984 ROCKWELL INTL CORP PFD SER B CONV \$1.35 9/5/1984 TRW INC PREF SER 1 CONV \$4.40	\$105.000 \$156.875	No Trades No Trades	No Trades No Trades	No Trades No Trades	No Trades No Trades
9/11/1984 HOUSEHOLD INTL INC PFD CONV \$2.50	\$48.500	No Trades	No Trades	No Trades	No Trades
9/11/1984 OWENS ILL INC PFD CONV \$4.75	\$124.750	No Trades	No Trades	No Trades	No Trades
9/12/1984 BRISTOL MYERS CO PFD CONV \$2	\$99.125	No Trades	No Trades	No Trades	No Trades
9/17/1984 HOUSEHOLD INTL INC PFD CONV \$2.50	\$48.500	\$49.750	\$49.750	Below	\$1.250
9/20/1984 INTERCO INC PFD SER D CONV \$7.75	\$123.250	\$124.000	\$124.000	Below	\$0.750
9/20/1984 INTERCO INC PFD SER D CONV \$7.75 9/20/1984 INTERCO INC PFD SER D CONV \$7.75	\$123.500 \$123.750	\$124.000 \$124.000	\$124.000 \$124.000	Below Below	\$0.500 \$0.250
9/20/1984 INTERCO INC FFD SER D CONV \$7.75 9/20/1984 INTERCO INC PFD SER D CONV \$7.75	\$123.730	\$124.000	\$124.000	In Range	In Range
9/20/1984 INTERCO INC PFD SER D CONV \$7.75	\$125.625	\$124.000	\$124.000	Above	\$1.625
11/16/1984 SHELLER GLOBE CORP \$3 CV PFD	\$86.500	No Trades	No Trades	No Trades	No Trades
11/29/1984 HOUSEHOLD INTL INC PFD CONV \$2.375	\$72.625	\$72.000	\$72.000	Above	\$0.625
11/30/1984 AVCO CORP PFD CONV \$3.20	\$93.250	\$93.500	\$91.250	In Range	In Range
11/30/1984 AVCO CORP PFD CONV \$3.20	\$93.375	\$93.500	\$91.250	In Range	In Range
11/30/1984 BRISTOL MYERS CO PFD CONV \$2	\$101.000	No Trades	No Trades	No Trades	No Trades
11/30/1984 BRISTOL MYERS CO PFD CONV \$2 11/30/1984 BRISTOL MYERS CO PFD CONV \$2	\$101.125 \$101.250	No Trades No Trades	No Trades No Trades	No Trades No Trades	No Trades No Trades
11/30/1984 BRISTOL MYERS CO PFD CONV \$2	\$101.230	No Trades	No Trades	No Trades	No Trades
11/30/1984 BRISTOL MYERS CO PFD CONV \$2	\$101.625	No Trades	No Trades	No Trades	No Trades
11/30/1984 BRISTOL MYERS CO PFD CONV \$2	\$101.750	No Trades	No Trades	No Trades	No Trades
12/5/1984 OWENS ILL INC PREF CONV \$4.75	\$115.250	No Trades	No Trades	No Trades	No Trades
12/5/1984 OWENS ILL INC PREF CONV \$4.75	\$115.375	No Trades	No Trades	No Trades	No Trades
12/5/1984 OWENS ILL INC PREF CONV \$4.75	\$115.625	No Trades	No Trades	No Trades	No Trades
12/5/1984 OWENS ILL INC PREF CONV \$4.75	\$116.125 \$54.250	No Trades \$54.750	No Trades \$54.000	No Trades	No Trades
12/10/1984 BEATRICE FOODS CO PREF SER A CONV \$3.38 12/10/1984 BEATRICE FOODS CO PREF SER A CONV \$3.38	\$54.230 \$54.375	\$54.750	\$54.000	In Range In Range	In Range In Range
12/10/1984 BELL & HOWELL CO PFD SER A CONV	\$22.500	\$22.500	\$22.250	In Range	In Range
12/10/1984 BELL & HOWELL CO PFD SER A CONV	\$22.625	\$22.500	\$22.250	Above	\$0.125
12/10/1984 BELL & HOWELL CO PFD SER A CONV	\$22.750	\$22.500	\$22.250	Above	\$0.250
12/10/1984 BELL & HOWELL CO PFD SER A CONV	\$22.875	\$22.500	\$22.250	Above	\$0.375
12/11/1984 INTERCO INC PFD SER D CONV \$7.75	\$126.500	No Trades	No Trades	No Trades	No Trades
12/17/1984 TRW INC PREF SER 3 CONV \$4.50 12/17/1984 UNITED STATES GYPSUM CO PFD CONV \$1.80	\$128.375 \$52.375	No Trades \$53.000	No Trades \$51.000	No Trades In Range	No Trades
12/17/1984 ONITED STATES GTPSOM CO PFD CONV \$1.80	\$102.875	\$105.000	\$102.500	In Range	In Range In Range
12/19/1984 ATLANTIC RICHFIELD CO PFD CONV \$2.80	\$103.375	\$105.000	\$102.500	In Range	In Range
12/20/1984 INTL HARVESTER CO PREF SER A CONV \$3	\$30.750	\$31.500	\$30.625	In Range	In Range
2/25/1985 BELL & HOWELL CO PFD SER A CONV	\$28.875	\$28.750	\$28.750	Above	\$0.125
2/25/1985 I C INDS INC 2ND PFD SER 1 CONV \$3.50	\$96.125	\$97.750	\$95.500	In Range	In Range
2/25/1985 I C INDS INC 2ND PFD SER 1 CONV \$3.50	\$96.250	\$97.750	\$95.500	In Range	In Range
2/25/1985 I C INDS INC 2ND PFD SER 1 CONV \$3.50	\$96.375	\$97.750	\$95.500	In Range	In Range
2/25/1985 I C INDS INC 2ND PFD SER 1 CONV \$3.50 2/25/1985 I C INDS INC 2ND PFD SER 1 CONV \$3.50	\$96.500 \$96.750	\$97.750 \$97.750	\$95.500 \$95.500	In Range In Range	In Range In Range
3/5/1985 USLIFE CORP PFD SER D CONV \$2.25	\$32.875	\$33.125	\$32.500	In Range	In Range
3/5/1985 USLIFE CORP PFD SER D CONV \$2.25	\$33.125	\$33.125	\$32.500	In Range	In Range
3/7/1985 INTL HARVESTER CO PREF SER A CONV \$3	\$38.750	\$39.000	\$38.750	In Range	In Range
3/7/1985 PIEDMONT AVIATION INC SUB DEB CONV 11.000 7/01/2007	\$131.000	\$132.500	\$131.000	In Range	In Range
3/7/1985 PIEDMONT AVIATION INC SUB DEB CONV 11.000 7/01/2007	\$131.125	\$132.500	\$131.000	In Range	In Range
3/8/1985 MARTIN MARIETTA CORP PFD CONV EXCHANGEABLE \$4.875	\$73.000 \$88.250	\$75.750 \$90.500	\$73.000	In Range	In Range
3/18/1985 ASSOCIATED DRY GOODS CORP PFD SER A CONV \$4.75 3/18/1985 ASSOCIATED DRY GOODS CORP PFD SER A CONV \$4.75	\$88.375	\$90.500	\$90.500 \$90.500	Below Below	\$2.250 \$2.125
3/18/1985 ASSOCIATED DRY GOODS CORP PFD SER A CONV \$4.75	\$88.625	\$90.500	\$90.500	Below	\$1.875
3/18/1985 ASSOCIATED DRY GOODS CORP PFD SER A CONV \$4.75	\$88.750	\$90.500	\$90.500	Below	\$1.750
3/19/1985 KATY INDS INC PFD SER B CONV \$1.46	\$95.750	\$99.500	\$90.000	In Range	In Range
3/20/1985 STORER COMMUNICATIONS INC SUB DEB CONV 8.500 12/31/2005	\$175.000	\$179.000	\$170.000	In Range	In Range
3/25/1985 LEAR SIEGLER INC PFD CONV \$2.25	\$115.625	\$116.250	\$116.250	Below	\$0.625
6/24/1985 BOEING CO SUB DEB CONV 8.875 6/15/2006	\$152.500	\$153.125	\$152.500	In Range	In Range
6/25/1985 CLUETT PEABODY & CO INC PFD CONV \$1 6/26/1985 CLUETT PEABODY & CO INC PFD CONV \$1	\$21.750 \$21.750	\$21.500 \$21.750	\$21.500 \$21.500	Above In Range	\$0.250
6/27/1985 FMC CORP PFD CONV \$2.25	\$21.750 \$82.625	\$21.750 \$81.500	\$21.500	In Range Above	In Range \$1.125
6/27/1985 FMC CORP PFD CONV \$2.25	\$83.250	\$81.500	\$81.500	Above	\$1.750
6/28/1985 OWENS ILL INC PFD CONV \$4.75	\$138.125	\$139.500	\$139.500	Below	\$1.375
6/28/1985 OWENS ILL INC PFD CONV \$4.75	\$138.250	\$139.500	\$139.500	Below	\$1.250
7/1/1985 FMC CORP PFD CONV \$2.25	\$82.625	\$85.000	\$85.000	Below	\$2.375
7/2/1985 BELL & HOWELL CO PFD SER A CONV	\$31.750	No Trades	No Trades	No Trades	No Trades
7/2/1985 INTERCO INC PFD SER D CONV \$7.75	\$140.250	No Trades	No Trades	No Trades	No Trades
7/2/1985 INTERCO INC PFD SER D CONV \$7.75	\$140.375	No Trades	No Trades	No Trades	No Trades

Trade Date	Security Name	IA Business Purported Price ²	Daily High ³	Daily Low ³	Above/Below	Magnitude
7/2/1985 INTERCO INC P	FD SER D CONV \$7.75	\$140.625	No Trades	No Trades	No Trades	No Trades
7/5/1985 BRISTOL MYER		\$131.875	No Trades	No Trades	No Trades	No Trades
7/8/1985 BRISTOL MYER	·	\$131.500	\$129.000	\$129.000	Above	\$2.500
7/8/1985 BRISTOL MYER		\$131.875	\$129.000	\$129.000	Above	\$2.875
	AL HARVESTER CO JR PREF SER D CONV \$0 AL HARVESTER CO JR PREF SER D CONV \$0	\$25.000 \$25.250	\$25.875 \$25.875	\$25.000 \$25.000	In Range In Range	In Range In Range
	TTRELL INC SUB DEB CONV 10.500 10/31/2006	\$136.250	\$137.500	\$137.500	Below	\$1.250
	S GYPSUM CO PFD CONV \$1.80	\$75.000	No Trades	No Trades	No Trades	No Trades
	IRLS CO SUB DEB CONV 10.000 6/15/2007	\$154.000	\$156.000	\$156.000	Below	\$2.000
7/15/1985 SUN INC PFD C	ONV \$2.25	\$99.250	\$100.000	\$100.000	Below	\$0.750
7/15/1985 SUN INC PFD C	·	\$99.375	\$100.000	\$100.000	Below	\$0.625
7/15/1985 SUN INC PFD C	•	\$99.625	\$100.000	\$100.000	Below	\$0.375
7/15/1985 SUN INC PFD C		\$100.500	\$100.000	\$100.000	Above	\$0.500
7/15/1985 TRW INC PREF 7/15/1985 TRW INC PREF		\$166.000 \$166.125	No Trades No Trades	No Trades No Trades	No Trades No Trades	No Trades
7/15/1985 TRW INC PREF	·	\$166.500	No Trades	No Trades	No Trades	No Trades
7/15/1985 TRW INC PREF		\$167.500	No Trades	No Trades	No Trades	No Trades
	AL HARVESTER CO PREF SER A CONV \$3	\$34.375	\$37.000	\$35.000	Below	\$0.625
7/24/1985 TEXTRON INC		\$111.000	\$112.000	\$111.500	Below	\$0.500
11/22/1985 BRISTOL MYER	RS CO PFD CONV \$2	\$133.500	No Trades	No Trades	No Trades	No Trades
11/22/1985 TRW INC PREF	·	\$142.125	No Trades	No Trades	No Trades	No Trades
	ORP SUB DEB CONV 8.500 1/15/2008	\$114.000	\$116.750	\$116.750	Below	\$2.750
	DRP SUB DEB CONV 8.500 1/15/2008	\$114.200	\$116.750	\$116.750	Below	\$2.550
	DRP SUB DEB CONV 8.500 1/15/2008	\$114.425	\$116.750	\$116.750	Below	\$2.325
	PRESS COMPANY WTS EXP 02/28/1987	\$42.125	\$44.750	\$41.625	In Range	In Range
12/4/1985 AMERICAN EX	PRESS COMPANY WTS EXP 02/28/1987	\$42.625 \$85.000	\$44.750 \$85.500	\$41.625 \$85.000	In Range In Range	In Range In Range
12/13/1985 AMERICAN GE	·	\$65.625	\$66.625	\$65.500	In Range	In Range
12/17/1985 HOUSEHOLD IN		\$62.500	No Trades	No Trades	No Trades	No Trades
2/26/1986 CSX CORP PFD		\$205.000	No Trades	No Trades	No Trades	No Trades
3/3/1986 AMERICAN GE		\$79.000	\$81.000	\$79.000	In Range	In Range
3/5/1986 AMERICAN INT	L GROUP INC PRD CL B CONV \$5.85	\$200.500	207	207	Below	\$6.500
3/5/1986 OWENS ILL INC	C PREF CONV \$4.75	\$187.500	No Trades	No Trades	No Trades	No Trades
	HFIELD CO PFD CONV \$2.80	\$120.500	\$120.000	\$120.000	Above	\$0.500
	L CORP PREF CONV \$1.20	\$28.125	\$29.250	\$28.875	Below	\$0.750
3/11/1986 SUN INC PFD C		\$100.750	\$101.500	\$100.000	In Range	In Range
	VTL INC PFD CONV \$2.375 PMENT CORP CONV SUB DEB 8.000 9/01/2009	\$101.000 \$131.250	No Trades \$137.500	No Trades \$136.000	No Trades Below	No Trades \$4.750
,	PMENT CORP CONV SUB DEB 8.000 9/01/2009	\$131.337	\$137.500	\$136.000	Below	\$4.663
	MENT CORP CONV SUB DEB 8.000 9/01/2009	\$132.000	\$137.500	\$136.000	Below	\$4.000
•	MENT CORP CONV SUB DEB 8.000 9/01/2009	\$132.325	\$137.500	\$136.000	Below	\$3.675
	MENT CORP CONV SUB DEB 8.000 9/01/2009	\$132.662	\$137.500	\$136.000	Below	\$3.338
3/21/1986 DIGITAL EQUIP	MENT CORP CONV SUB DEB 8.000 9/01/2009	\$132.987	\$137.500	\$136.000	Below	\$3.013
3/21/1986 DIGITAL EQUIP	MENT CORP CONV SUB DEB 8.000 9/01/2009	\$133.975	\$137.500	\$136.000	Below	\$2.025
	N CORP DEB CONV 11.00 2/8/2007	\$205.000	\$215.000	\$209.250	Below	\$4.250
	N CORP DEB CONV 11.00 2/8/2007	\$205.000	\$215.875	\$212.000	Below	\$7.000
	F W CO PFD SER A CONV \$2.20	\$120.750	No Trades	No Trades	No Trades	No Trades
11/16/1986 CSX CORP PFD		\$167.125	No Trades	No Trades	No Trades	No Trades
	R INC PFD SER A CONV \$1.30 S GYPSUM CO PFD CONV \$1.80	\$29.750 \$141.250	No Trades No Trades	No Trades No Trades	No Trades No Trades	No Trades No Trades
11/18/1986 CSX CORP PFD		\$167.125	No Trades	No Trades	No Trades	No Trades
	S GYPSUM CO PFD CONV \$1.80	\$141.250	No Trades	No Trades	No Trades	No Trades
	F W CO PFD SER A CONV \$2.20	\$120.375	123	\$122.500	Below	\$2.125
	F W CO PFD SER A CONV \$2.20	\$120.500	123	\$122.500	Below	\$2.000
11/18/1986 WOOLWORTH	F W CO PFD SER A CONV \$2.20	\$120.750	123	\$122.500	Below	\$1.750
11/18/1986 WOOLWORTH	F W CO PFD SER A CONV \$2.20	\$121.125	123	\$122.500	Below	\$1.375
11/19/1986 BRISTOL MYER		\$157.875	No Trades	No Trades	No Trades	No Trades
11/19/1986 CSX CORP PFD	•	\$167.125	No Trades	No Trades	No Trades	No Trades
	FL CORP PFD SER A CONV \$4.75	\$405.250	No Trades	No Trades	No Trades	No Trades
11/19/1986 SUN INC PFD C		\$113.750	No Trades	No Trades	No Trades	No Trades
11/19/1986 WALTER JIM C	F W CO PFD SER A CONV \$2.20	\$77.625 \$120.500	No Trades \$120.000	No Trades \$120.000	No Trades Above	No Trades
11/20/1986 WOOLWORTH I		\$120.500 \$288.500	No Trades	No Trades	No Trades	\$0.500 No Trades
11/20/1986 EATON CORF F		\$185.375	No Trades	No Trades	No Trades	No Trade
12/15/1986 BELL & HOWEI		\$35.750	\$36.125	\$35.750	In Range	In Range
12/15/1986 BELL & HOWEI		\$35.875	\$36.125	\$35.750	In Range	In Range
	W YORK CORP PFD CONV \$1.875	\$42.750	\$43.000	\$43.000	Below	\$0.250
	W YORK CORP PFD CONV \$1.875	\$42.875	\$43.000	\$43.000	Below	\$0.125
12/15/1986 OWENS ILL INC		\$297.375	\$296.000	\$296.000	Above	\$1.375
12/15/1986 OWENS ILL INC	C PREF CONV \$4.75	\$298.250	\$296.000	\$296.000	Above	\$2.250

Trade Date	Security Name	IA Business Purported Price ²	Daily High ³	Daily Low ³	Above/Below	Magnitude
12/18/1986 OLIN COR	P SUB DEB CONV 8.750 6/01/2008	\$110.000	No Trades	No Trades	No Trades	No Trades
	N GEN CORP DEB CONV 11.00 2/8/2007	\$202.000	No Trades	No Trades	No Trades	No Trades
	N GEN CORP DEB CONV. 11.00 2/8/2007	\$202.375	No Trades	No Trades	No Trades	No Trades
	N GEN CORP DEB CONV 11.00 2/8/2007 N GEN CORP DEB CONV 11.00 2/8/2007	\$202.750 \$203.875	No Trades No Trades	No Trades No Trades	No Trades No Trades	No Trades No Trades
	CORP DEPOSITARY PFD SER C CONV	\$31.750	\$32.000	\$31.750	In Range	In Range
	N & BROAD CONV PFD B \$8.75	\$115.625	\$113.500	\$112.000	Above	\$2.125
3/6/1987 U S AIR G	ROUP INC SR SUB DEB CONV 8.750 9/15/2009	\$138.000	\$148.000	\$137.000	In Range	In Range
	T AVIATION INC PFD CONV EXCHANGEABLE \$3.25	\$67.750	\$67.875	\$67.625	In Range	In Range
	R BUSCH COS INC PFD CONV \$3.60 SER A	\$134.750	\$137.125	\$135.375	Below	\$0.625
	R BUSCH COS INC PFD CONV \$3.60 SER A ONTAINER CORP PFD SER C CONV EXB \$3.50	\$136.000 \$79.000	\$137.125 \$80.000	\$135.375 \$79.000	In Range In Range	In Range In Range
	COMPANIES INC CUM CONV PFD 3.875 SERIES	\$59.750	\$61.000	\$59.250	In Range	In Range
	COMPANIES INC CUM CONV PFD 3.875 SERIES	\$59.875	\$61.000	\$59.250	In Range	In Rang
	COMPANIES INC CUM CONV PFD 3.875 SERIES	\$60.000	\$61.000	\$59.250	In Range	In Rang
	H CAP CORP \$3 CONV PFD	\$55.250	\$55.000	\$53.000	Above	\$0.25
	MYERS CO PFD CONV \$2	\$201.625	\$203.000	\$202.250	Below	\$0.62
	MYERS CO PFD CONV \$2	\$201.750	\$203.000	\$202.250	Below	\$0.500
	ORP PFD SER B CONV \$10 ORP PFD SER B CONV \$10	\$344.500 \$345.750	No Trades No Trades	No Trades No Trades	No Trades No Trades	No Trade No Trade
	AN INC SUB DEB CONV 7.500 7/01/2010	\$343.730 \$121.000	\$128.750	\$128.750	No Trades Below	\$7.750
	R BUSCH COS INC PFD CONV \$3.60 SER A	\$116.000	\$119.375	\$115.500	In Range	In Rang
	ORP SUB DEB CONV 7.250 8/01/2010	\$141.000	\$144.500	\$143.000	Below	\$2.00
	OWELL CO PFD SER A CONV	\$65.750	\$66.000	\$65.000	In Range	In Rang
	INC PFD SER D CONV \$7.75	\$133.750	No Trades	No Trades	No Trades	No Trade
12/7/1987 SUN INC I		\$100.500	No Trades	No Trades	No Trades	No Trade
	RTH F W CO PFD SER A CONV \$2.20 INC PFD SER D CONV \$7.75	\$112.375 \$176.250	\$112.000 No Trades	\$112.000 No Trades	Above No Trades	\$0.375 No Trade
	INC PFD SER D CONV \$7.75 INC PFD SER D CONV \$7.75	\$176.230	No Trades	No Trades	No Trades	No Trade
	INC PFD SER D CONV \$7.75	\$177.250	No Trades	No Trades	No Trades	No Trade
3/16/1988 SUN INC I		\$117.625	No Trades	No Trades	No Trades	No Trade
3/22/1988 KELLWOO	DD CO DEB CONV 9.000 10/15/1999	\$275.000	No Trades	No Trades	No Trades	No Trade
	DD CO DEB CONV 9.000 10/15/1999	\$279.000	No Trades	No Trades	No Trades	No Trade
	COOKE INC PFD CONV \$0.90	\$24.500	\$25.250	\$24.500	In Range	In Rang
	COOKE INC PFD CONV \$0.90 COOKE INC PFD CONV \$0.90	\$24.625 \$24.750	\$25.250 \$25.250	\$24.500 \$24.500	In Range In Range	In Rang
	COOKE INC PFD CONV \$0.90	\$24.730	\$25.500	\$24.875	In Range	In Range
	N CORP SUB DEB CONV 9.750 3/15/2006	\$141.000	No Trades	No Trades	No Trades	No Trade
	H CORP PFD SER B CONV EX \$3.75	\$63.375	No Trades	No Trades	No Trades	No Trade
3/10/1989 PHELPS D	ODGE CORP PFD CV EXP DEP \$3.00	\$73.250	\$73.750	\$73.000	In Range	In Rang
	COMMUNICATIONS INC PFD SER A CONV EXCH \$3.625	\$79.000	\$80.125	\$78.750	In Range	In Rang
	COMMUNICATIONS INC PFD SER A CONV EXCH \$3.625	\$79.250	\$80.125	\$78.750	In Range	In Rang
	COMMUNICATIONS INC PFD SER A CONV EXCH \$3.625 COMMUNICATIONS INC PED SER A CONV EXCH \$3.625	\$79.750 \$77.500	\$80.125 \$77.875	\$78.750 \$77.500	In Range	In Range In Range
	A CO PFD CONV EXCHANGEABLE \$ 2.125	\$35.375	\$37.000	\$35.250	In Range In Range	In Rang
6/5/1989 VISTA CH		\$20.875	\$22.250	\$20.750	In Range	In Rang
6/5/1989 VISTA CH		\$21.000	\$22.250	\$20.750	In Range	In Rang
6/5/1989 VISTA CH		\$21.375	\$22.250	\$20.750	In Range	In Rang
6/5/1989 VISTA CH		\$21.500	\$22.250	\$20.750	In Range	In Rang
6/12/1989 VISTA CH		\$22.250	\$22.375	\$22.250	In Range	In Rang
11/10/1989 CONSECO	N BRANDS INC PFD CONV \$2.67	\$36.625 \$139.375	\$37.250 No Trades	\$33.750 No Trades	In Range No Trades	In Range No Trade
	RSTAR FINL GROUP INC SUB DEB CONV 8.500 4/01/2010	\$126.500	No Trades	No Trades	No Trades	No Trade
	RSTAR FINL GROUP INC SUB DEB CONV 8.500 4/01/2010	\$126.750	No Trades	No Trades	No Trades	No Trade
12/4/1989 FLEET/NO	RSTAR FINL GROUP INC SUB DEB CONV 8.500 4/01/2010	\$128.250	No Trades	No Trades	No Trades	No Trade
12/12/1989 ATLANTIC	C RICHFIELD CO PFD CONV \$3	\$751.000	No Trades	No Trades	No Trades	No Trade
	C RICHFIELD CO PFD CONV \$3	\$751.625	No Trades	No Trades	No Trades	No Trade
	C RICHFIELD CO PFD CONV \$3	\$752.000	No Trades	No Trades	No Trades	No Trade
	E FGHT CORP SUB DEB CONV 7.500 6/15/2011 E FGHT CORP SUB DEB CONV 7.500 6/15/2011	\$134.500 \$134.750	No Trades No Trades	No Trades No Trades	No Trades No Trades	No Trade No Trade
	E FGHT CORP SUB DEB CONV 7.500 6/15/2011	\$134.750 \$135.000	No Trades No Trades	No Trades	No Trades No Trades	No Trade
	E FGHT CORP SUB DEB CONV 7.500 6/15/2011	\$135.500	No Trades	No Trades	No Trades	No Trade
	E FGHT CORP SUB DEB CONV 7.500 6/15/2011	\$139.000	No Trades	No Trades	No Trades	No Trade
	DATED EDISON CO N Y INC PREF SER B CONV 6%	\$356.000	No Trades	No Trades	No Trades	No Trade
	DATED EDISON CO N Y INC PREF SER B CONV 6%	\$356.375	No Trades	No Trades	No Trades	No Trade
	DATED EDISON CO N Y INC PREF SER B CONV 6%	\$357.250	No Trades	No Trades	No Trades	No Trade
12/14/1989 ETHYL CO	ORP 2ND PFD SER A CONV \$2.40	\$563.500	No Trades No Trades	No Trades	No Trades No Trades	No Trade
0/5/1000 3 50000			No Trades	No Trades	No Tradec	No Trade
	N CORP SUB DEB CONV 9.750 3/15/2006 FIONAL MINERALS & CHEM SERIES B CONV EXCL PFD \$3.25	\$163.000 \$70.500	No Trades	No Trades	No Trades	No Trade

		IA Business				
Trade Date	Security Name	Purported	Daily High ³	Daily Low ³	Above/Below	Magnitude
		Price ²				
3/5/1990 MCDERM	OTT INTL INC WT EXP 04/01/1990	\$2.625	\$2.875	\$2.500	In Range	In Range
3/12/1990 MCDERM	OTT INTL INC WT EXP 04/01/1990	\$3.500	\$3.750	\$3.375	In Range	In Range
3/12/1990 MCDERM	OTT INTL INC WT EXP 04/01/1990	\$3.625	\$3.750	\$3.375	In Range	In Range
3/13/1990 MCDERM	IOTT INC DEB 10.000 4/01/2003	\$96.250	No Trades	No Trades	No Trades	No Trades
3/20/1990 ITT CORF	P PFD SER N CONV \$2.25	\$66.250	No Trades	No Trades	No Trades	No Trades
3/21/1990 PFIZER IN	NC SUB DEB CONV 8.750 2/15/2006	\$203.750	No Trades	No Trades	No Trades	No Trades
10/2/1990 ATLANTI	C RICHFIELD CO PFD CONV \$ 2.80	\$313.500	\$317.000	\$315.000	Below	\$1.500
10/5/1990 ATLANTI	C RICHFIELD CO PFD CONV \$ 2.80	\$316.250	No Trades	No Trades	No Trades	No Trades
10/5/1990 ATLANTI	C RICHFIELD CO PFD CONV \$ 2.80	\$318.000	No Trades	No Trades	No Trades	No Trades
10/17/1990 TEXTRON	N INC PFD CONV \$2.08	\$43.125	No Trades	No Trades	No Trades	No Trades
10/17/1990 TEXTRON	N INC PFD CONV DIV STK SER B \$1.40	\$34.875	No Trades	No Trades	No Trades	No Trades
10/19/1990 TEXTRON	N INC PFD CONV \$2.08	\$45.375	No Trades	No Trades	No Trades	No Trades
12/14/1990 ATLANTI	C RICHFIELD CO PFD CONV \$2.80	\$291.125	\$293.750	\$293.750	Below	\$2.625
12/14/1990 ATLANTI	C RICHFIELD CO PFD CONV \$2.80	\$291.250	\$293.750	\$293.750	Below	\$2.500
12/14/1990 ATLANTI	C RICHFIELD CO PFD CONV \$2.80	\$292.250	\$293.750	\$293.750	Below	\$1.500
12/18/1990 FEDERAL	NATIONAL MTG ASSN WT EXP 2/25/91	\$18.875	\$20.500	\$18.625	In Range	In Range
11/19/1991 ATLANTI	C RICHFIELD CO PFD CONV \$2.80	\$266.625	No Trades	No Trades	No Trades	No Trades
2/25/1992 LEGGETT	T & PLATT INC SUB DEB CONV 6.500 4/01/2006	\$112.500	No Trades	No Trades	No Trades	No Trades
2/26/1992 LEGGETT	T & PLATT INC SUB DEB CONV 6.500 4/01/2006	\$112.625	No Trades	No Trades	No Trades	No Trades
2/26/1992 TYCO TO	YS INC WTS EXP 6/07/1993	\$20.250	\$22.250	\$19.750	In Range	In Range
11/24/1992 XTRA CO	ORP PFD SER B CONV \$1.9375	\$36.750	\$38.000	\$36.500	In Range	In Range
12/14/1992 AMERICA	AN BRANDS INC PFD CONV \$2.67	\$169.500	No Trades	No Trades	No Trades	No Trades

^{1.} The Monthly Time Period consists of the following months: October 1979, November 1979, every March and December from 1981-1992, and randomly selected months, other than March and December, between 1983 and 1992.

^{2.} IA Business Purported Price as shown on the IA Business customer ledgers.

^{3.} Daily High and Daily Low as reported by the New York Stock Exchange Daily Stock Records, Over the Counter Exchange Daily Stock Records, American Stock Exchange Daily Stock Record, Wall Street Journal New York Exchange Bonds, Moody's Industrial Manuals and Moody's Bank and Financial Manual.